..... moves to amend H.F. No. 2930, the delete everything amendment

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1.2	(H2930DE1), as follows:
1.3	Page 136, line 7, strike "pediatric"
1.4	Page 136, line 11, after the semicolon, insert "in"
1.5	Page 136, line 12, after the semicolon, insert "in"
1.6	Page 136, line 14, strike everything after the semicolon
1.7	Page 136, line 15, strike "subdivision 4" and insert "in an assisted living facility as defined in section 144G.08, subdivision 7"
1.9	Page 136, line 30, strike "51, chapter 303" and insert "51c.303"
1.10	Page 137, line 8, after the semicolon, insert "be"
1.11	Page 137, line 9, after "program" insert "or obtaining required supervision hours"
1.12	Page 366, after line 5, insert:
1.13	"Sec Minnesota Statutes 2022, section 245.4663, subdivision 1, is amended to read:
1.14	Subdivision 1. <b>Grant program established.</b> The commissioner shall award grants to
1.15	licensed or certified mental health providers that meet the criteria in subdivision 2 to fund
1.16	supervision of or preceptorships for students, interns, and clinical trainees who are working
1.17	toward becoming mental health professionals and; to subsidize the costs of licensing
1.18	applications and examination fees for clinical trainees; and to fund training for workers to
1.19	become supervisors. For purposes of this section, an intern may include an individual who
1.20	is working toward an undergraduate degree in the behavioral sciences or related field at ar
1.21	accredited educational institution.

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Sec. .... Minnesota Statutes 2022, section 245.4663, subdivision 4, is amended to read: 2.1 Subd. 4. Allowable uses of grant funds. A mental health provider must use grant funds 2.2 received under this section for one or more of the following: 2.3 (1) to pay for direct supervision hours or preceptorships for students, interns, and clinical 2.4 trainees, in an amount up to \$7,500 per student, intern, or clinical trainee; 2.5 (2) to establish a program to provide supervision to multiple students, interns, or clinical 2.6 trainees; or 2.7 (3) to pay licensing application and examination fees for clinical trainees.; or 2.8 (4) to provide a weekend training program for workers to become supervisors. 2.9 Sec. .... Minnesota Statutes 2022, section 245.4901, subdivision 4, is amended to read: 2.10 Subd. 4. Data collection and outcome measurement. Grantees shall provide data to 2.11 the commissioner for the purpose of evaluating the effectiveness of the school-linked 2.12 behavioral health grant program, no more frequently than twice per year. Data provided by 2.13 grantees shall include the number of clients served, client demographics, payment 2.14 2.15 information, duration and frequency of services and client-related clinic ancillary services including hours of direct client services, and hours of ancillary direct and indirect support 2.16 services. Qualitative data may also be collected to demonstrate impact from client and school 2.17 personnel perspectives.. 2.18 Sec. .... Minnesota Statutes 2022, section 245.4901, is amended by adding a subdivision 2.19 to read: 2.20 Subd. 5. Consultation; grant awards. In administering this program, the commissioner 2.21 shall consult with school districts that have not received grants under this section but that 2.22 wish to collaborate with a community mental health provider. The commissioner shall also 2.23 work with culturally specific providers to allow these providers to serve students from their 2.24 community in multiple schools. When awarding grants, the commissioner shall consider 2.25 the need to have consistency of providers over time among schools and students." 2.26 Page 367, after line 8, insert: 2.27 "Sec. .... Minnesota Statutes 2022, section 245I.05, subdivision 3, is amended to read: 2.28 Subd. 3. **Initial training.** (a) A staff person must receive training about: 2.29

(1) vulnerable adult maltreatment under section 245A.65, subdivision 3; and

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(2) the maltreatment of minor reporting requirements and definitions in chapter 260E 3.1 within 72 hours of first providing direct contact services to a client. 3.2 (b) Before providing direct contact services to a client, a staff person must receive training 3.3 about: 3.4 3.5 (1) client rights and protections under section 245I.12; (2) the Minnesota Health Records Act, including client confidentiality, family engagement 3.6 under section 144.294, and client privacy; 3.7 (3) emergency procedures that the staff person must follow when responding to a fire, 3.8 inclement weather, a report of a missing person, and a behavioral or medical emergency; 3.9 (4) specific activities and job functions for which the staff person is responsible, including 3.10 the license holder's program policies and procedures applicable to the staff person's position; 3 11 (5) professional boundaries that the staff person must maintain; and 3.12 (6) specific needs of each client to whom the staff person will be providing direct contact 3.13 services, including each client's developmental status, cognitive functioning, and physical 3.14 and mental abilities. 3.15 (c) Before providing direct contact services to a client, a mental health rehabilitation 3.16 worker, mental health behavioral aide, or mental health practitioner required to receive the 3.17 training according to section 245I.04, subdivision 4, must receive 30 hours of training about: 3.18 (1) mental illnesses; 3.19 (2) client recovery and resiliency; 3.20 (3) mental health de-escalation techniques; 3.21 (4) co-occurring mental illness and substance use disorders; and 3.22 3.23 (5) psychotropic medications and medication side effects. (d) Within 90 days of first providing direct contact services to an adult client, a clinical 3.24 trainee, mental health practitioner, mental health certified peer specialist, or mental health 3.25 rehabilitation worker must receive training about: 3.26 (1) trauma-informed care and secondary trauma; 3.27 (2) person-centered individual treatment plans, including seeking partnerships with 3.28 family and other natural supports; 3.29 (3) co-occurring substance use disorders; and 3.30

(4) culturally responsive treatment practices.

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- (e) Within 90 days of first providing direct contact services to a child client, a clinical trainee, mental health practitioner, mental health certified family peer specialist, mental health certified peer specialist, or mental health behavioral aide must receive training about the topics in clauses (1) to (5). This training must address the developmental characteristics of each child served by the license holder and address the needs of each child in the context of the child's family, support system, and culture. Training topics must include:
- (1) trauma-informed care and secondary trauma, including adverse childhood experiences 4.8 (ACEs); 4.9
- (2) family-centered treatment plan development, including seeking partnership with a 4.10 child client's family and other natural supports; 4.11
  - (3) mental illness and co-occurring substance use disorders in family systems;
- (4) culturally responsive treatment practices; and 4.13
- (5) child development, including cognitive functioning, and physical and mental abilities. 4.14
- (f) For a mental health behavioral aide, the training under paragraph (e) must include 4.15 parent team training using a curriculum approved by the commissioner." 4.16
- Page 367, after line 16, insert: 4.17
- "Sec. .... Minnesota Statutes 2022, section 245I.08, subdivision 3, is amended to read: 4.18
- 4.19 Subd. 3. Documenting approval. A license holder must ensure that all diagnostic assessments, functional assessments, level of care assessments, and treatment plans completed 4.20 by a clinical trainee or mental health practitioner contain documentation of approval by a 4.21 treatment supervisor within five 30 business days of initial completion by the staff person 4.22 under treatment supervision."
- 4.23
- Page 369, line 17, strike "at least annually following the client's initial diagnostic 4.24
- assessment" 4.25
- Page 369, line 21, strike "or" 4.26
- Page 369, line 23, strike the period and insert "; or" 4.27
- Page 369, after line 23, insert: 4.28
- "(5) upon the client's request." 4.29
- Page 370, after line 11, insert: 4.30

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"Sec. .... Minnesota Statutes 2022, section 245I.10, subdivision 5, is amended to read:

Subd. 5. **Brief diagnostic assessment; required elements.** (a) Only a mental health professional or clinical trainee may complete a brief diagnostic assessment of a client. A license holder may only use a brief diagnostic assessment for a client who is six years of age or older.

- (b) When conducting a brief diagnostic assessment of a client, the assessor must complete a face-to-face interview with the client and a written evaluation of the client. The assessor must gather and document initial components of the client's standard diagnostic assessment, including the client's:
- 5.10 (1) age;

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- 5.11 (2) description of symptoms, including the reason for the client's referral;
- 5.12 (3) history of mental health treatment;
- 5.13 (4) cultural influences on the client; and
- 5.14 (5) mental status examination.
  - (c) Based on the initial components of the assessment, the assessor must develop a provisional diagnostic formulation about the client. The assessor may use the client's provisional diagnostic formulation to address the client's immediate needs and presenting problems.
  - (d) A mental health professional or clinical trainee may use treatment sessions with the client authorized by a brief diagnostic assessment to gather additional information about the client to complete the client's standard diagnostic assessment if the number of sessions will exceed the coverage limits in subdivision 2."
- 5.23 Page 371, strike lines 25 to 30
- 5.24 Page 371, line 31, strike "(5)" and insert "(3)"
- 5.25 Page 375, after line 11, insert:
- "Sec. .... Minnesota Statutes 2022, section 245I.20, subdivision 5, is amended to read:
  - Subd. 5. **Treatment supervision specified.** (a) A mental health professional must remain responsible for each client's case. The certification holder must document the name of the mental health professional responsible for each case and the dates that the mental health professional is responsible for the client's case from beginning date to end date. The certification holder must assign each client's case for assessment, diagnosis, and treatment

services to a treatment team member who is competent in the assigned clinical service, the recommended treatment strategy, and in treating the client's characteristics.

- (b) Treatment supervision of mental health practitioners and clinical trainees required by section 245I.06 must include case reviews as described in this paragraph. Every two months, a mental health professional must complete and document a case review of each elient assigned to the mental health professional when the client is receiving clinical services from a mental health practitioner or clinical trainee. The case review must include a consultation process that thoroughly examines the client's condition and treatment, including: (1) a review of the client's reason for seeking treatment, diagnoses and assessments, and the individual treatment plan; (2) a review of the appropriateness, duration, and outcome of treatment provided to the client; and (3) treatment recommendations."
- Page 378, after line 21, insert:

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- "Sec. .... Minnesota Statutes 2022, section 256.478, subdivision 1, is amended to read:
- 6.14 Subdivision 1. **Purpose.** (a) The commissioner shall establish the transition to community 6.15 initiative to award grants to serve <u>individuals</u> <u>children and adults</u> for whom supports and 6.16 services not covered by medical assistance would allow them to:
- 6.17 (1) live in the least restrictive setting and as independently as possible;
- 6.18 (2) access services that support short- and long-term needs for developmental growth or individualized treatment needs;
- $\frac{(2)}{(3)}$  build or maintain relationships with family and friends; and
- 6.21 (3) (4) participate in community life.
- (b) Grantees must ensure that individuals the individual or the child and family are
   engaged in a process that involves person-centered planning and informed choice
   decision-making. The informed choice decision-making process must provide accessible
   written information and be experiential whenever possible."
- Page 378, line 23, strike "An individual" and insert "A child or adult"
- Page 378, line 24, strike everything after "the"
- Page 378, line 25, strike everything before "meets" and insert "child or adult can
  demonstrate that current services are not capable of meeting individual treatment and service
  needs that can be met in the community with support, and the child or adult"

Page 379, line 2, before "or" insert "juvenile detention facility, county supervised 7.1 building, " 7.2 Page 379, line 4, delete the new language 7.3 Page 379, line 11, strike the period and insert "; or" 7.4 Page 379, after line 11, insert: 7.5 "(4) the person can demonstrate that the person's needs are beyond the scope of current 7.6 7.7 service designs and grant funding can support the inclusion of additional supports for the person to access appropriate treatment and services in the least restrictive environment." 7.8 Page 379, after line 12, insert: 7.9 "Sec. .... Minnesota Statutes 2022, section 256B.0616, subdivision 3, is amended to read: 7.10 Subd. 3. Eligibility. Family peer support services may shall be provided to recipients 7.11 of inpatient hospitalization, partial hospitalization, residential treatment, children's intensive 7.12 behavioral health services, day treatment, children's therapeutic services and supports, or 7.13 erisis services eligible under medical assistance, upon a determination by a licensed mental 7.14 7.15 health provider. **EFFECTIVE DATE.** This section is effective January 1, 2024, or upon federal approval, 7.16 7.17 whichever is later. Sec. .... Minnesota Statutes 2022, section 256B.0616, subdivision 4, is amended to read: 7.18 Subd. 4. Peer support specialist program providers. The commissioner shall develop 7.19 a process to certify family and youth peer support specialist programs and associated training 7.20 support, in accordance with the federal guidelines, in order for the program to bill for 7.21 reimbursable services. Family and youth peer support programs must operate within an 7.22 7.23 existing mental health community provider or center. Sec. .... Minnesota Statutes 2022, section 256B.0616, subdivision 5, is amended to read: 7.24 Subd. 5. Certified family and youth peer specialist training and certification. The 7.25 commissioner shall develop a or approve the use of an existing training and certification 7.26 7.27 process for certified family and youth peer specialists. The Family peer candidates must have raised or be currently raising a child with a mental illness, have had experience 7.28 navigating the children's mental health system, and must demonstrate leadership and advocacy 7.29 skills and a strong dedication to family-driven and family-focused services. Youth peer 7.30 candidates must have demonstrated lived experience in children's mental health or related 7.31

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adverse experiences in adolescence, a high school degree, and leadership and advocacy 8.1 skills with a focus on supporting client voice. The training curriculum must teach participating 8.2 family and youth peer specialists specific skills relevant to providing peer support to other 8.3 parents or to youth in mental health treatment. In addition to initial training and certification, 8.4 the commissioner shall develop ongoing continuing educational workshops on pertinent 8.5 issues related to family and youth peer support counseling. Training for family and youth 8.6 peer support specialists may be delivered by the commissioner or by organizations approved 8.7 by the commissioner. 8.8 **EFFECTIVE DATE.** This section is effective January 1, 2024, or upon federal approval, 8.9 whichever is later." 8.10 Page 381 after line 2, insert: 8.11 "Sec. .... Minnesota Statutes 2022, section 256B.0622, subdivision 7a, is amended to read: 8.12 Subd. 7a. Assertive community treatment team staff requirements and roles. (a) 8.13 The required treatment staff qualifications and roles for an ACT team are: 8.14 (1) the team leader: 8.15 (i) shall be a mental health professional. Individuals who are not licensed but who are 8.16 eligible for licensure and are otherwise qualified may also fulfill this role but must obtain 8.17 full licensure within 24 months of assuming the role of team leader; 8.18 (ii) must be an active member of the ACT team and provide some direct services to 8.19 clients; 8.20 (iii) must be a single full-time staff member, dedicated to the ACT team, who is 8.21 responsible for overseeing the administrative operations of the team<del>, providing treatment</del> 8.22 supervision of services in conjunction with the psychiatrist or psychiatric care provider, and 8.23 supervising team members to ensure delivery of best and ethical practices; and 8.24 (iv) must be available to provide overall treatment supervision to the ACT team after 8.25 regular business hours and on weekends and holidays. The team leader may at any time 8.26 delegate this duty to another qualified member of the ACT team licensed professional; 8.27 (2) the psychiatric care provider: 8.28 (i) must be a mental health professional permitted to prescribe psychiatric medications 8.29 as part of the mental health professional's scope of practice. The psychiatric care provider 8.30 must have demonstrated clinical experience working with individuals with serious and 8.31 persistent mental illness; 8.32

(ii) shall collaborate with the team leader in sharing overall clinical responsibility for screening and admitting clients; monitoring clients' treatment and team member service delivery; educating staff on psychiatric and nonpsychiatric medications, their side effects, and health-related conditions; actively collaborating with nurses; and helping provide treatment supervision to the team;

- (iii) shall fulfill the following functions for assertive community treatment clients: provide assessment and treatment of clients' symptoms and response to medications, including side effects; provide brief therapy to clients; provide diagnostic and medication education to clients, with medication decisions based on shared decision making; monitor clients' nonpsychiatric medical conditions and nonpsychiatric medications; and conduct home and community visits;
- (iv) shall serve as the point of contact for psychiatric treatment if a client is hospitalized for mental health treatment and shall communicate directly with the client's inpatient psychiatric care providers to ensure continuity of care;
- (v) shall have a minimum full-time equivalency that is prorated at a rate of 16 hours per 50 clients. Part-time psychiatric care providers shall have designated hours to work on the team, with sufficient blocks of time on consistent days to carry out the provider's clinical, supervisory, and administrative responsibilities. No more than two psychiatric care providers may share this role; and
- (vi) shall provide psychiatric backup to the program after regular business hours and on weekends and holidays. The psychiatric care provider may delegate this duty to another qualified psychiatric provider;
  - (3) the nursing staff:

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- (i) shall consist of one to three registered nurses or advanced practice registered nurses, of whom at least one has a minimum of one-year experience working with adults with serious mental illness and a working knowledge of psychiatric medications. No more than two individuals can share a full-time equivalent position;
- (ii) are responsible for managing medication, administering and documenting medication treatment, and managing a secure medication room; and
- (iii) shall develop strategies, in collaboration with clients, to maximize taking medications as prescribed; screen and monitor clients' mental and physical health conditions and medication side effects; engage in health promotion, prevention, and education activities; communicate and coordinate services with other medical providers; facilitate the development

of the individual treatment plan for clients assigned; and educate the ACT team in monitoring psychiatric and physical health symptoms and medication side effects;

(4) the co-occurring disorder specialist:

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- (i) shall be a full-time equivalent co-occurring disorder specialist who has received specific training on co-occurring disorders that is consistent with national evidence-based practices. The training must include practical knowledge of common substances and how they affect mental illnesses, the ability to assess substance use disorders and the client's stage of treatment, motivational interviewing, and skills necessary to provide counseling to clients at all different stages of change and treatment. The co-occurring disorder specialist may also be an individual who is a licensed alcohol and drug counselor as described in section 148F.01, subdivision 5, or a counselor who otherwise meets the training, experience, and other requirements in section 245G.11, subdivision 5. No more than two co-occurring disorder specialists may occupy this role; and
- (ii) shall provide or facilitate the provision of co-occurring disorder treatment to clients. The co-occurring disorder specialist shall serve as a consultant and educator to fellow ACT team members on co-occurring disorders;
  - (5) the vocational specialist:
- (i) shall be a full-time vocational specialist who has at least one-year experience providing employment services or advanced education that involved field training in vocational services to individuals with mental illness. An individual who does not meet these qualifications may also serve as the vocational specialist upon completing a training plan approved by the commissioner;
- (ii) shall provide or facilitate the provision of vocational services to clients. The vocational specialist serves as a consultant and educator to fellow ACT team members on these services; and
- (iii) must not refer individuals to receive any type of vocational services or linkage by providers outside of the ACT team;
  - (6) the mental health certified peer specialist:
- (i) shall be a full-time equivalent. No more than two individuals can share this position.

  The mental health certified peer specialist is a fully integrated team member who provides highly individualized services in the community and promotes the self-determination and shared decision-making abilities of clients. This requirement may be waived due to workforce shortages upon approval of the commissioner;

(ii) must provide coaching, mentoring, and consultation to the clients to promote recovery, self-advocacy, and self-direction, promote wellness management strategies, and assist clients in developing advance directives; and

- (iii) must model recovery values, attitudes, beliefs, and personal action to encourage wellness and resilience, provide consultation to team members, promote a culture where the clients' points of view and preferences are recognized, understood, respected, and integrated into treatment, and serve in a manner equivalent to other team members;
- (7) the program administrative assistant shall be a full-time office-based program administrative assistant position assigned to solely work with the ACT team, providing a range of supports to the team, clients, and families; and
  - (8) additional staff:

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- (i) shall be based on team size. Additional treatment team staff may include mental health professionals; clinical trainees; certified rehabilitation specialists; mental health practitioners; or mental health rehabilitation workers. These individuals shall have the knowledge, skills, and abilities required by the population served to carry out rehabilitation and support functions; and
  - (ii) shall be selected based on specific program needs or the population served.
  - (b) Each ACT team must clearly document schedules for all ACT team members.
- (c) Each ACT team member must serve as a primary team member for clients assigned by the team leader and are responsible for facilitating the individual treatment plan process for those clients. The primary team member for a client is the responsible team member knowledgeable about the client's life and circumstances and writes the individual treatment plan. The primary team member provides individual supportive therapy or counseling, and provides primary support and education to the client's family and support system.
- (d) Members of the ACT team must have strong clinical skills, professional qualifications, experience, and competency to provide a full breadth of rehabilitation services. Each staff member shall be proficient in their respective discipline and be able to work collaboratively as a member of a multidisciplinary team to deliver the majority of the treatment, rehabilitation, and support services clients require to fully benefit from receiving assertive community treatment.
- (e) Each ACT team member must fulfill training requirements established by the commissioner.

Sec. .... Minnesota Statutes 2022, section 256B.0622, subdivision 7b, is amended to read: 12.1 Subd. 7b. Assertive community treatment program size and opportunities. (a) Each 12.2 ACT team shall maintain an annual average caseload that does not exceed 100 clients. 12.3 Staff-to-client ratios shall be based on team size as follows: 12.4 12.5 (1) a small ACT team must: (i) employ at least six but no more than seven full-time treatment team staff, excluding 12.6 12.7 the program assistant and the psychiatric care provider; (ii) serve an annual average maximum of no more than 50 clients; 12.8 (iii) ensure at least one full-time equivalent position for every eight clients served; 12.9 (iv) schedule ACT team staff for at least eight-hour shift coverage on weekdays and 12.10 on-call duty to provide crisis services and deliver services after hours when staff are not 12.11 working; 12.12 (v) provide crisis services during business hours if the small ACT team does not have 12.13 sufficient staff numbers to operate an after-hours on-call system. During all other hours, 12.14 the ACT team may arrange for coverage for crisis assessment and intervention services 12.15 through a reliable crisis-intervention provider as long as there is a mechanism by which the 12.16 ACT team communicates routinely with the crisis-intervention provider and the on-call 12.17 ACT team staff are available to see clients face-to-face when necessary or if requested by 12.18 the crisis-intervention services provider; 12.19 (vi) adjust schedules and provide staff to carry out the needed service activities in the 12.20 evenings or on weekend days or holidays, when necessary; 12.21 12.22 (vii) arrange for and provide psychiatric backup during all hours the psychiatric care provider is not regularly scheduled to work. If availability of the ACT team's psychiatric 12.23 care provider during all hours is not feasible, alternative psychiatric prescriber backup must 12.24 be arranged and a mechanism of timely communication and coordination established in 12.25 writing; and 12.26 (viii) be composed of, at minimum, one full-time team leader, at least 16 hours each 12.27 week per 50 clients of psychiatric provider time, or equivalent if fewer clients, one full-time 12.28 12.29 equivalent nursing, one full-time co-occurring disorder specialist, one full-time equivalent mental health certified peer specialist, one full-time vocational specialist, one full-time 12.30 program assistant, and at least one additional full-time ACT team member who has mental 12.31 health professional, certified rehabilitation specialist, clinical trainee, or mental health 12.32 practitioner status; and 12.33

(2) a midsize ACT team shall:

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(i) be composed of, at minimum, one full-time team leader, at least 16 hours of psychiatry time for 51 clients, with an additional two hours for every six clients added to the team, 1.5 to two full-time equivalent nursing staff, one full-time co-occurring disorder specialist, one full-time equivalent mental health certified peer specialist, one full-time vocational specialist, one full-time program assistant, and at least 1.5 to two additional full-time equivalent ACT members, with at least one dedicated full-time staff member with mental health professional status. Remaining team members may have mental health professional, certified rehabilitation specialist, clinical trainee, or mental health practitioner status;

- (ii) employ seven or more treatment team full-time equivalents, excluding the program assistant and the psychiatric care provider;
  - (iii) serve an annual average maximum caseload of 51 to 74 clients;
  - (iv) ensure at least one full-time equivalent position for every nine clients served;
- (v) schedule ACT team staff for a minimum of ten-hour shift coverage on weekdays and six- to eight-hour shift coverage on weekends and holidays. In addition to these minimum specifications, staff are regularly scheduled to provide the necessary services on a client-by-client basis in the evenings and on weekends and holidays;
- (vi) schedule ACT team staff on-call duty to provide crisis services and deliver services when staff are not working;
- (vii) have the authority to arrange for coverage for crisis assessment and intervention services through a reliable crisis-intervention provider as long as there is a mechanism by which the ACT team communicates routinely with the crisis-intervention provider and the on-call ACT team staff are available to see clients face-to-face when necessary or if requested by the crisis-intervention services provider; and
- (viii) arrange for and provide psychiatric backup during all hours the psychiatric care provider is not regularly scheduled to work. If availability of the psychiatric care provider during all hours is not feasible, alternative psychiatric prescriber backup must be arranged and a mechanism of timely communication and coordination established in writing;
  - (3) a large ACT team must:
- (i) be composed of, at minimum, one full-time team leader, at least 32 hours each week per 100 clients, or equivalent of psychiatry time, three full-time equivalent nursing staff, one full-time co-occurring disorder specialist, one full-time equivalent mental health certified peer specialist, one full-time vocational specialist, one full-time program assistant, and at

least two additional full-time equivalent ACT team members, with at least one dedicated full-time staff member with mental health professional status. Remaining team members may have mental health professional or mental health practitioner status;

- (ii) employ nine or more treatment team full-time equivalents, excluding the program assistant and psychiatric care provider;
- (iii) serve an annual average maximum caseload of 75 to 100 clients;

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- (iv) ensure at least one full-time equivalent position for every nine individuals served;
  - (v) schedule staff to work two eight-hour shifts, with a minimum of two staff on the second shift providing services at least 12 hours per day weekdays. For weekends and holidays, the team must operate and schedule ACT team staff to work one eight-hour shift, with a minimum of two staff each weekend day and every holiday;
  - (vi) schedule ACT team staff on-call duty to provide crisis services and deliver services when staff are not working; and
  - (vii) arrange for and provide psychiatric backup during all hours the psychiatric care provider is not regularly scheduled to work. If availability of the ACT team psychiatric care provider during all hours is not feasible, alternative psychiatric backup must be arranged and a mechanism of timely communication and coordination established in writing.
  - (b) An ACT team of any size may have a staff-to-client ratio that is lower than the requirements described in paragraph (a) upon approval by the commissioner, but may not exceed a one-to-ten staff-to-client ratio.
- 14.21 Sec. .... Minnesota Statutes 2022, section 256B.0622, subdivision 7c, is amended to read:
- Subd. 7c. Assertive community treatment program organization and communication requirements. (a) An ACT team shall provide at least 75 percent of all services in the community in non-office-based or non-facility-based settings.
- 14.25 (b) ACT team members must know all clients receiving services, and interventions must
  14.26 be carried out with consistency and follow empirically supported practice.
  - (c) Each ACT team client shall be assigned an individual treatment team that is determined by a variety of factors, including team members' expertise and skills, rapport, and other factors specific to the individual's preferences. The majority of clients shall see at least three ACT team members in a given month.
  - (d) The ACT team shall have the capacity to rapidly increase service intensity to a client when the client's status requires it, regardless of geography, and provide flexible service in

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an individualized manner, and see clients on average three times per week for at least 120 minutes per week at a frequency that meets the client's needs. Services must be available at times that meet client needs.

- (e) ACT teams shall make deliberate efforts to assertively engage clients in services. Input of family members, natural supports, and previous and subsequent treatment providers is required in developing engagement strategies. ACT teams shall include the client, identified family, and other support persons in the admission, initial assessment, and planning process as primary stakeholders, meet with the client in the client's environment at times of the day and week that honor the client's preferences, and meet clients at home and in jails or prisons, streets, homeless shelters, or hospitals.
- (f) ACT teams shall ensure that a process is in place for identifying individuals in need of more or less assertive engagement. Interventions are monitored to determine the success of these techniques and the need to adapt the techniques or approach accordingly.
- (g) ACT teams shall conduct daily team meetings to systematically update clinically relevant information, briefly discuss the status of assertive community treatment clients over the past 24 hours, problem solve emerging issues, plan approaches to address and prevent crises, and plan the service contacts for the following 24-hour period or weekend. All team members scheduled to work shall attend this meeting.
- (h) ACT teams shall maintain a clinical log that succinctly documents important clinical information and develop a daily team schedule for the day's contacts based on a central file of the clients' weekly or monthly schedules, which are derived from interventions specified within the individual treatment plan. The team leader must have a record to ensure that all assigned contacts are completed."
- Page 383, after line 19, insert:

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- "Sec. .... Minnesota Statutes 2022, section 256B.0623, subdivision 4, is amended to read:
- Subd. 4. **Provider entity standards.** (a) The provider entity must be certified by the state following the certification process and procedures developed by the commissioner.
  - (b) The certification process is a determination as to whether the entity meets the standards in this section and chapter 245I, as required in section 245I.011, subdivision 5. The certification must specify which adult rehabilitative mental health services the entity is qualified to provide.
  - (c) A noncounty provider entity must obtain additional certification from each county in which it will provide services. The additional certification must be based on the adequacy

of the entity's knowledge of that county's local health and human service system, and the ability of the entity to coordinate its services with the other services available in that county. A county-operated entity must obtain this additional certification from any other county in which it will provide services.

(d) (c) State-level recertification must occur at least every three years.

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- $\frac{(e)}{(d)}$  The commissioner may intervene at any time and decertify providers with cause.
- The decertification is subject to appeal to the state. A county board may recommend that the state decertify a provider for cause.
- 16.9 (f) (e) The adult rehabilitative mental health services provider entity must meet the following standards:
- 16.11 (1) have capacity to recruit, hire, manage, and train qualified staff;
- 16.12 (2) have adequate administrative ability to ensure availability of services;
- 16.13 (3) ensure that staff are skilled in the delivery of the specific adult rehabilitative mental health services provided to the individual eligible recipient;
- 16.15 (4) ensure enough flexibility in service delivery to respond to the changing and
  16.16 intermittent care needs of a recipient as identified by the recipient and the individual treatment
  16.17 plan;
- 16.18 (5) assist the recipient in arranging needed crisis assessment, intervention, and stabilization services;
  - (6) ensure that services are coordinated with other recipient mental health services providers and the county mental health authority and the federally recognized American Indian authority and necessary others after obtaining the consent of the recipient. Services must also be coordinated with the recipient's case manager or care coordinator if the recipient is receiving case management or care coordination services;
    - (7) keep all necessary records required by law;
- 16.26 (8) deliver services as required by section 245.461;
- 16.27 (9) be an enrolled Medicaid provider; and
- 16.28 (10) maintain a quality assurance plan to determine specific service outcomes and the recipient's satisfaction with services.

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Sec. .... Minnesota Statutes 2022, section 256B.0757, subdivision 4c, is amended to read:

- Subd. 4c. **Behavioral health home services staff qualifications.** (a) A behavioral health home services provider must maintain staff with required professional qualifications appropriate to the setting.
  - (b) If behavioral health home services are offered in a mental health setting, the integration specialist must be a <u>registered licensed</u> nurse <u>licensed under the Minnesota Nurse</u>

    Practice Act, sections 148.171 to 148.285, as defined in section 148.171, subdivision 9...

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- (c) If behavioral health home services are offered in a primary care setting, the integration specialist must be a mental health professional who is qualified according to section 245I.04, subdivision 2.
  - (d) If behavioral health home services are offered in either a primary care setting or mental health setting, the systems navigator must be a mental health practitioner who is qualified according to section 245I.04, subdivision 4, or a community health worker as defined in section 256B.0625, subdivision 49.
- 17.15 (e) If behavioral health home services are offered in either a primary care setting or 17.16 mental health setting, the qualified health home specialist must be one of the following:
- 17.17 (1) a mental health certified peer specialist who is qualified according to section 245I.04, subdivision 10;
- 17.19 (2) a mental health certified family peer specialist who is qualified according to section 245I.04, subdivision 12;
- (3) a case management associate as defined in section 245.462, subdivision 4, paragraph (g), or 245.4871, subdivision 4, paragraph (j);
- 17.23 (4) a mental health rehabilitation worker who is qualified according to section 245I.04, subdivision 14;
- 17.25 (5) a community paramedic as defined in section 144E.28, subdivision 9;
- 17.26 (6) a peer recovery specialist as defined in section 245G.07, subdivision 1, clause (5);
  17.27 or
- 17.28 (7) a community health worker as defined in section 256B.0625, subdivision 49.
- Sec. 4. Minnesota Statutes 2022, section 256B.0941, subdivision 2a, is amended to read:
- Subd. 2a. **Sleeping hours.** During normal sleeping hours, a psychiatric residential treatment facility provider must provide at least one staff person for every six residents

present within a living unit. A provider must adjust sleeping-hour staffing levels based on the clinical needs of the residents in the facility. Sleeping hours must include at least one staff trained and certified to provide emergency medical response. During normal sleeping hours, a registered nurse must be available on call to assess a child's needs and must be available within 60 minutes."

Page 388, before line 8, insert:

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"Sec. .... Minnesota Statutes 2022, section 256B.69, subdivision 5a, is amended to read:

Subd. 5a. **Managed care contracts.** (a) Managed care contracts under this section and section 256L.12 shall be entered into or renewed on a calendar year basis. The commissioner may issue separate contracts with requirements specific to services to medical assistance recipients age 65 and older.

- (b) A prepaid health plan providing covered health services for eligible persons pursuant to chapters 256B and 256L is responsible for complying with the terms of its contract with the commissioner. Requirements applicable to managed care programs under chapters 256B and 256L established after the effective date of a contract with the commissioner take effect when the contract is next issued or renewed.
- (c) The commissioner shall withhold five percent of managed care plan payments under this section and county-based purchasing plan payments under section 256B.692 for the prepaid medical assistance program pending completion of performance targets. Each performance target must be quantifiable, objective, measurable, and reasonably attainable, except in the case of a performance target based on a federal or state law or rule. Criteria for assessment of each performance target must be outlined in writing prior to the contract effective date. Clinical or utilization performance targets and their related criteria must consider evidence-based research and reasonable interventions when available or applicable to the populations served, and must be developed with input from external clinical experts and stakeholders, including managed care plans, county-based purchasing plans, and providers. The managed care or county-based purchasing plan must demonstrate, to the commissioner's satisfaction, that the data submitted regarding attainment of the performance target is accurate. The commissioner shall periodically change the administrative measures used as performance targets in order to improve plan performance across a broader range of administrative services. The performance targets must include measurement of plan efforts to contain spending on health care services and administrative activities. The commissioner may adopt plan-specific performance targets that take into account factors affecting only one plan, including characteristics of the plan's enrollee population. The

withheld funds must be returned no sooner than July of the following year if performance targets in the contract are achieved. The commissioner may exclude special demonstration projects under subdivision 23.

(d) The commissioner shall require that managed care plans:

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- (1) use the assessment and authorization processes, forms, timelines, standards, documentation, and data reporting requirements, protocols, billing processes, and policies consistent with medical assistance fee-for-service or the Department of Human Services contract requirements for all personal care assistance services under section 256B.0659 and community first services and supports under section 256B.85; and
- (2) by January 30 of each year that follows a rate increase for any aspect of services under section 256B.0659 or 256B.85, inform the commissioner and the chairs and ranking minority members of the legislative committees with jurisdiction over rates determined under section 256B.851 of the amount of the rate increase that is paid to each personal care assistance provider agency with which the plan has a contract.
- (e) Effective for services rendered on or after January 1, 2012, the commissioner shall include as part of the performance targets described in paragraph (c) a reduction in the health plan's emergency department utilization rate for medical assistance and MinnesotaCare enrollees, as determined by the commissioner. For 2012, the reduction shall be based on the health plan's utilization in 2009. To earn the return of the withhold each subsequent year, the managed care plan or county-based purchasing plan must achieve a qualifying reduction of no less than ten percent of the plan's emergency department utilization rate for medical assistance and MinnesotaCare enrollees, excluding enrollees in programs described in subdivisions 23 and 28, compared to the previous measurement year until the final performance target is reached. When measuring performance, the commissioner must consider the difference in health risk in a managed care or county-based purchasing plan's membership in the baseline year compared to the measurement year, and work with the managed care or county-based purchasing plan to account for differences that they agree are significant.

The withheld funds must be returned no sooner than July 1 and no later than July 31 of the following calendar year if the managed care plan or county-based purchasing plan demonstrates to the satisfaction of the commissioner that a reduction in the utilization rate was achieved. The commissioner shall structure the withhold so that the commissioner returns a portion of the withheld funds in amounts commensurate with achieved reductions in utilization less than the targeted amount.

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The withhold described in this paragraph shall continue for each consecutive contract period until the plan's emergency room utilization rate for state health care program enrollees is reduced by 25 percent of the plan's emergency room utilization rate for medical assistance and MinnesotaCare enrollees for calendar year 2009. Hospitals shall cooperate with the health plans in meeting this performance target and shall accept payment withholds that may be returned to the hospitals if the performance target is achieved.

(f) Effective for services rendered on or after January 1, 2012, the commissioner shall include as part of the performance targets described in paragraph (c) a reduction in the plan's hospitalization admission rate for medical assistance and MinnesotaCare enrollees, as determined by the commissioner. To earn the return of the withhold each year, the managed care plan or county-based purchasing plan must achieve a qualifying reduction of no less than five percent of the plan's hospital admission rate for medical assistance and MinnesotaCare enrollees, excluding enrollees in programs described in subdivisions 23 and 28, compared to the previous calendar year until the final performance target is reached. When measuring performance, the commissioner must consider the difference in health risk in a managed care or county-based purchasing plan's membership in the baseline year compared to the measurement year, and work with the managed care or county-based purchasing plan to account for differences that they agree are significant.

The withheld funds must be returned no sooner than July 1 and no later than July 31 of the following calendar year if the managed care plan or county-based purchasing plan demonstrates to the satisfaction of the commissioner that this reduction in the hospitalization rate was achieved. The commissioner shall structure the withhold so that the commissioner returns a portion of the withheld funds in amounts commensurate with achieved reductions in utilization less than the targeted amount.

The withhold described in this paragraph shall continue until there is a 25 percent reduction in the hospital admission rate compared to the hospital admission rates in calendar year 2011, as determined by the commissioner. The hospital admissions in this performance target do not include the admissions applicable to the subsequent hospital admission performance target under paragraph (g). Hospitals shall cooperate with the plans in meeting this performance target and shall accept payment withholds that may be returned to the hospitals if the performance target is achieved.

(g) Effective for services rendered on or after January 1, 2012, the commissioner shall include as part of the performance targets described in paragraph (c) a reduction in the plan's hospitalization admission rates for subsequent hospitalizations within 30 days of a previous hospitalization of a patient regardless of the reason, for medical assistance and MinnesotaCare

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enrollees, as determined by the commissioner. To earn the return of the withhold each year, the managed care plan or county-based purchasing plan must achieve a qualifying reduction of the subsequent hospitalization rate for medical assistance and MinnesotaCare enrollees, excluding enrollees in programs described in subdivisions 23 and 28, of no less than five percent compared to the previous calendar year until the final performance target is reached.

The withheld funds must be returned no sooner than July 1 and no later than July 31 of the following calendar year if the managed care plan or county-based purchasing plan demonstrates to the satisfaction of the commissioner that a qualifying reduction in the subsequent hospitalization rate was achieved. The commissioner shall structure the withhold so that the commissioner returns a portion of the withheld funds in amounts commensurate with achieved reductions in utilization less than the targeted amount.

The withhold described in this paragraph must continue for each consecutive contract period until the plan's subsequent hospitalization rate for medical assistance and MinnesotaCare enrollees, excluding enrollees in programs described in subdivisions 23 and 28, is reduced by 25 percent of the plan's subsequent hospitalization rate for calendar year 2011. Hospitals shall cooperate with the plans in meeting this performance target and shall accept payment withholds that must be returned to the hospitals if the performance target is achieved.

- (h) Effective for services rendered on or after January 1, 2013, through December 31, 2013, the commissioner shall withhold 4.5 percent of managed care plan payments under this section and county-based purchasing plan payments under section 256B.692 for the prepaid medical assistance program. The withheld funds must be returned no sooner than July 1 and no later than July 31 of the following year. The commissioner may exclude special demonstration projects under subdivision 23.
- (i) Effective for services rendered on or after January 1, 2014, the commissioner shall withhold three percent of managed care plan payments under this section and county-based purchasing plan payments under section 256B.692 for the prepaid medical assistance program. The withheld funds must be returned no sooner than July 1 and no later than July 31 of the following year. The commissioner may exclude special demonstration projects under subdivision 23.
- (j) A managed care plan or a county-based purchasing plan under section 256B.692 may include as admitted assets under section 62D.044 any amount withheld under this section that is reasonably expected to be returned.

(k) Contracts between the commissioner and a prepaid health plan are exempt from the set-aside and preference provisions of section 16C.16, subdivisions 6, paragraph (a), and 22.2 7. 22.3 (l) The return of the withhold under paragraphs (h) and (i) is not subject to the 22.4 22.5 requirements of paragraph (c).

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- (m) Managed care plans and county-based purchasing plans shall maintain current and fully executed agreements for all subcontractors, including bargaining groups, for administrative services that are expensed to the state's public health care programs. Subcontractor agreements determined to be material, as defined by the commissioner after taking into account state contracting and relevant statutory requirements, must be in the form of a written instrument or electronic document containing the elements of offer, acceptance, consideration, payment terms, scope, duration of the contract, and how the subcontractor services relate to state public health care programs. Upon request, the commissioner shall have access to all subcontractor documentation under this paragraph. Nothing in this paragraph shall allow release of information that is nonpublic data pursuant to section 13.02.
- (n) Effective for services rendered on or after January 1, 2024, the commissioner shall require, as part of a contract, that all managed care plans use timely claim filing timelines of 12 months and use remittance advice and prior authorizations timelines consistent with those used under medical assistance fee-for-service for mental health and substance use disorder treatment services. A managed care plan under this section may not take back funds the managed care plan paid to a mental health and substance use disorder treatment provider once six months have elapsed from the date the funds were paid.
- Sec. .... Minnesota Statutes 2022, section 260C.007, subdivision 26d, is amended to read: 22.24
- Subd. 26d. Qualified residential treatment program. "Qualified residential treatment 22.25 program" means a children's residential treatment program licensed under chapter 245A or 22.26 licensed or approved by a tribe that is approved to receive foster care maintenance payments 22.27 under section 256.82 that: 22.28
- (1) has a trauma-informed treatment model designed to address the needs of children 22.29 22.30 with serious emotional or behavioral disorders or disturbances;
- (2) has registered or licensed nursing staff and other licensed clinical staff who: 22.31
- (i) provide care within the scope of their practice; and 22.32
- (ii) are available 24 hours per day and seven days per week; 22.33

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23.1	(3) is accredited by any of the following independent, nonprofit organizations: the
23.2	Commission on Accreditation of Rehabilitation Facilities (CARF), the Joint Commission
23.3	on Accreditation of Healthcare Organizations (JCAHO), and the Council on Accreditation
23.4	(COA), or any other nonprofit accrediting organization approved by the United States
23.5	Department of Health and Human Services;
23.6	(4) if it is in the child's best interests, facilitates participation of the child's family members
23.7	in the child's treatment programming consistent with the child's out-of-home placement
23.8	plan under sections 260C.212, subdivision 1, and 260C.708;
23.9	(5) facilitates outreach to family members of the child, including siblings;
23.10	(6) documents how the facility facilitates outreach to the child's parents and relatives,
23.11	as well as documents the child's parents' and other relatives' contact information;
23.12	(7) documents how the facility includes family members in the child's treatment process,
23.13	including after the child's discharge, and how the facility maintains the child's sibling
23.14	connections; and
23.15	(8) provides the child and child's family with discharge planning and family-based
23.16	aftercare support for at least six months after the child's discharge. Aftercare support may
23.17	include mental health certified family and youth peer specialist services, as defined under
23.18	section 256B.0616."
23.19	Page 388, after line 32, insert:
23.20	"Sec DIRECTION TO THE COMMISSIONER; EARLY INTERVENTION
23.21	AND PREVENTION SERVICES.
23.22	The commissioner of human services must make the International Classification of
23.23	Diseases, Tenth Revision (ICD-10) V and Z codes available to medical assistance and
23.24	MinnesotaCare enrolled professionals to provide early intervention and prevention services.
23.25	Services must be delivered under the supervision of a mental health professional, as defined
23.26	in Minnesota Statutes, section 245I.02, subdivision 27, and must only be provided for a
23.27	period of up to six months after the first contact with a client who is enrolled in medical
23.28	assistance or MinnesotaCare."
23.29	Page 392, line 6, delete "and"
23.30	Page 392, line 10, delete the period and insert "; and"
23.31	Page 392, after line 10, insert:

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"(9) paying for case-specific consultation between a mental health professional and the appropriate diverse mental health professional in order to facilitate the provision of services that are culturally appropriate to a client's needs."

24.4 Renumber the sections in sequence and correct internal references