



MINNESOTA CAMPAIGN FINANCE BOARD



Faris Rashid was appointed to the Board in August 2020 by Governor Walz for a term ending in January of 2023. Mr. Rashid supports the DFL party and is the Vice Chair of the Board in 2021. He fills a Board position requiring a member who has not been a public official, held any political party office other than precinct delegate, or been elected to public office for which party designation is required by statute in the three years preceding the member's appointment to the Board. Mr. Rashid is a trial lawyer and partner at Greene Espel PLLP with a focus on technology, intellectual property, and trade-secrets disputes. He graduated from the University of Wisconsin Law School.

STATE OF MINNESOTA

Executive Department



Governor Tim Walz

NOTICE OF APPOINTMENT

Faris Rashid

Because of the special trust and confidence I have in your integrity, judgment, and ability, I have appointed you to the office of:

Member

Campaign Finance and Public Disclosure Board

Effective: August 5, 2020

Expires: January 2, 2023

This appointment carries with it all rights, powers, duties, and emoluments granted by law and pertaining to this position until this appointment is superseded or annulled by me or other lawful authority or by any law of this State.

Signed and sealed July 31, 2020.



Handwritten signature of Tim Walz in black ink.

Tim Walz

Governor

Handwritten signature of Steve Simon in black ink.

Steve Simon

Secretary of State

Replacing: Robert Moilanen

Filed on July 31, 2020
Office of the Minnesota
Secretary of State,
Steve Simon



MINNESOTA CAMPAIGN FINANCE BOARD

190 Centennial Bldg, 658 Cedar St, St Paul, MN 55155-1603

<https://cfb.mn.gov/>

2020 Annual statement of economic interest

Public Official Information

Faris Rashid

1305 HAGUE AVE

Saint Paul MN 55104

Phone: 612-790-2999

Email: frashid@greeneespel.com

Occupation: Attorney

Employer Name: Greene Espel PLLP

Business Address: 222 S. 9th St. Suite 2200

Minneapolis MN 55402

Agency Information

Agency: Campaign Finance and Public Disclosure Board

Position: Member

Period Covered

The period covered by this statement is all time served as a public official in 2020.

Certification

I certify that the information contained in this statement is complete, true, and correct.

Faris Rashid - submitted electronically on 1/12/2021

Faris Rashid

Sources of Compensation

Report the name of any associated business from which you received compensation for labor or personal services in excess of \$250 in any month during the reporting period.

.....Check each applicable category.....

Name of Source (list address if disclosing honorarium)	Director	Officer	Owner	Member	Partner	Employer	Employee	Honorarium
Greene Espel PLLP	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Business and Professional Activities

None reported

Securities

None reported

Real Property

None reported Waiver granted for disclosure of secondary residence

Pari-Mutuel Horse Racing Interests

None reported

Faris A. Rashid
1305 Hague Ave
St. Paul, MN 55104
612-790-2999
frashid@greeneespel.com

June 24, 2020

Governor Walz
130 State Capitol
75 Rev Dr. Martin Luther King Jr. Blvd.
St. Paul, MN 55155

Dear Governor Walz:

I am applying to the Minnesota Campaign Finance and Public Disclosure Board. I believe my experience as an attorney and my interest in the equal application of the law would serve the Board's mission.

As an attorney, I enjoy learning about complex subjects and then making them accessible to an audience. I would look to do the same in helping better inform citizens about the Board's actions, and in using new technology to do so. I have also successfully navigated the legal and ethical gray areas that inevitably arise in my job. I have learned that even if the letter of the law is unclear, how we apply it must be fair.

I am deeply committed to preserving and improving ethics in government. In every volunteer position that I've held, I have encouraged honest dialogue, questioning assumptions, and building rigorous processes that ensure equitable outcomes. I would support these values on the Board just as I have in neighborhood groups, local nonprofits, and professional associations.

I would be honored to help continue Minnesota's tradition of ethics, equity, and sensible governance. Please don't hesitate to contact me if you have questions or would like more information. Thank you for your time and consideration.

Sincerely,

A handwritten signature in black ink, appearing to read 'F. Rashid', with a large, stylized flourish extending to the right.

Faris A. Rashid

FARIS A. RASHID

1305 Hague Ave, Saint Paul, MN 55104
612-790-2999 ▪ frashid@greeneespel.com
<https://greeneespel.com/people/FarisRashid>

EDUCATION

University of Wisconsin Law School

J.D., *magna cum laude*, 2010

- Wisconsin Idea Merit Scholarship; Davis Award for Excellence in Constitutional Law
- Moot Court Competitor and Coach; Mock Trial Team; Consumer Law Litigation Clinic

University of Wisconsin-Madison

B.A., Political Science and Legal Studies, 2006; Dean's List; Graduated with High Distinction

EXPERIENCE

Greene Espel PLLP, Minneapolis, MN

Partner, February 2016 to present

- Represents clients ranging from Fortune 100 companies to local technology startups with focus on trade secrets, intellectual property, and cutting-edge technology disputes
- Trial lawyer and skilled advocate; argued and won multimillion-dollar motions, deposed and cross-examined executives, scientists, and engineers around the world; managed complex and large-scale e-discovery
- Advised clients regarding strategic risks using quantitative analysis; negotiated significant settlements in complex disputes
- Recognized as "Rising Star" by Minnesota Super Lawyers, "Up and Coming Attorney" by Minnesota Lawyer; regularly teach on technology and law topics

Faegre Baker Daniels LLP, Minneapolis, MN

Associate, January 2011 to September 2012; October 2014 to January 2016

- Substantial experience litigating complex civil disputes with focus on technology, including trade secrets, noncompete agreements, and software contracts
- Responded to requests for information from government agencies; advised corporate clients regarding FCPA, anti-corruption, and other aspects of risk management
- Drafted pleadings; conducted client interviews; deposed and defended dozens of witnesses; oral argument at motion hearings; managed complex discovery processes

United States District Court, Minneapolis, MN

Law Clerk to the Honorable Ann D. Montgomery, October 2012 to September 2014

COMMUNITY

Americorps (2006); Lexington-Hamline Community Council (2013-16); Minnesota Opera Young Professionals (2014-16); Union Park District Council (2016-17); Hennepin County Bar Association Civil Section Co-Chair; Minnesota State Bar Association Membership Committee



MINNESOTA CAMPAIGN FINANCE BOARD



Stephen D. Swanson was first appointed to the Board in July of 2017 by Governor Dayton. Mr. Swanson was reappointed in August of 2020 by Governor Walz for a term ending in January of 2024. Mr. Swanson is the Chair of the Board during 2021. He occupies an unrestricted Board position and supports the DFL party. Mr. Swanson is a graduate of the University of Cincinnati College of Law, and holds a Master of Laws degree from New York University. Following a career as an attorney with Mid-Minnesota Legal Assistance, Inc., Mr. Swanson served as a Hennepin County District Court Judge from July, 1989, to February, 2007, and as a Senior Judge from January, 2009, to April, 2014. Most recently, he served as a temporary administrative law judge with the Minnesota Office of Administrative Hearings from May, 2014, to May, 2017. Mr. Swanson has served as an international judge on the Court of Bosnia and Herzegovina, and worked in USAID-sponsored rule of law projects in Afghanistan, Kosovo, and Lebanon. He volunteers with the Conflict Resolution Center, and is a member of the Volunteer Lawyer's Network.

STATE OF MINNESOTA
Executive Department



Governor Tim Walz

NOTICE OF APPOINTMENT

Stephen Swanson

Because of the special trust and confidence I have in your integrity, judgment, and ability, I have appointed you to the office of:

Member

Campaign Finance and Public Disclosure Board

Effective: August 5, 2020

Expires: January 1, 2024

This appointment carries with it all rights, powers, duties, and emoluments granted by law and pertaining to this position until this appointment is superseded or annulled by me or other lawful authority or by any law of this State.

Signed and sealed July 31, 2020.



Handwritten signature of Tim Walz in black ink.

Tim Walz

Governor

Handwritten signature of Steve Simon in black ink.

Steve Simon

Secretary of State

Reappointment

Filed on July 31, 2020
Office of the Minnesota
Secretary of State,
Steve Simon



MINNESOTA CAMPAIGN FINANCE BOARD

190 Centennial Bldg, 658 Cedar St, St Paul, MN 55155-1603

<https://cfb.mn.gov/>

2020 Annual statement of economic interest

Public Official Information

Stephen D. Swanson

100 2nd ST SE

Minneapolis MN 55414

Phone: 612-220-8783

Email: sdswanonlaw@gmail.com

Occupation: Attorney and Peace Officer Grievance

Employer Name: Self-employed

Business Address: 100 2nd ST SE

Minneapolis MN 55414

Agency Information

Agency: Campaign Finance and Public Disclosure Board

Position: Member

Period Covered

The period covered by this statement is all time served as a public official in 2020.

Certification

I certify that the information contained in this statement is complete, true, and correct.

Stephen D. Swanson - submitted electronically on 1/06/2021

Stephen D. Swanson

Sources of Compensation

Report the name of any associated business from which you received compensation for labor or personal services in excess of \$250 in any month during the reporting period.

.....Check each applicable category.....

Name of Source

(list address if disclosing honorarium)

Volunteer Lawyers Network Ltd.

Director Officer Owner Member Partner Employer Employee Honorarium
[] [] [] [] [] [] [x] []

Business and Professional Activities

None reported

Securities

Report all securities in any associated business held in any month during the reporting period in which your share had a market value of \$10,000 or more. Do NOT include number of shares or value of shares. For stocks, list the name of the stock - do not list the symbol.

Name of Securities

- American Amcap Fund F2
American Europacific Growth Fund CL F2
American New World Fund CL F-3
AMR Blue Chip Income and Growth
AMR Global Growth
AMR International
Capital World Growth and Income FD Class
Champlain MID CAP Institutional
Franklin Income Security Fund
Invesco S&P 500 Equal Weight Consumer Staples ETF
iShares S&P Midcap Fund
JNL/MCM Oil & Gas Sector
JNL/Mellon Capital MGMT International Index
JNL/S&P Dividend Inc. & Growth
Minnesota Health Savings Account Plan
T Rowe Price Cap Appreciation
The Bond Fund of America Inc. Class 5
The Investment Company of America
Vanguard Long Term Treasury Fund Admiral Share
Vanguard REIT Index ETF
Vanguard Total Bond Market Index Fund

Name of Securities

- American Balanced Fund Inc. Class 529
American Mutual Fund Class F-2
AMR New World Fund CL F2
AMR Bond
AMR Growth and Income
Capital Income Builder Inc. Class 529
Capital World GRTH & INCM 529-A
FDIC Insured Deposit Account IDA12 not Covered by SIPC
Growth and Income Portfolio-529C
Investment Co. America
iShares S&P Smallcap Fund
JNL/Mellon CAP MGT S&P 400 MID CAP IND
JNL/Mellon Capital MGMT S&P 500 Index
MFS Research Bond
Pimco FDS PAC Inv. Mgmt. Ser. Total Return PT
The Bond Fund of America Class 529-A
The Growth Fund of America Inc. Class 529-A
Vanguard Energy Fund Admiral Shares
Vanguard Real Estate ETF
Vanguard Short-Term Bond Index Fund
Vanguard Wellesley Income Admiral

Real Property

None reported

[] Waiver granted for disclosure of secondary residence

Pari-Mutuel Horse Racing Interests

None reported

November 1, 2019

Timothy James Walz
Governor, State of Minnesota
130 State Capitol
75 Rev. Dr. Martin Luther King Jr. Blvd.
St. Paul, MN 55155

Dear Governor Walz:

Please accept my application to continue to serve on the Campaign Finance and Public Disclosure Board as a member supporting the Democratic-Farmer-Labor Party. I was appointed to the Board by Governor Dayton, effective July 1, 2017, and my term expires on January 6, 2020. I am a retired Hennepin County District Court Judge. I have submitted my curriculum vitae along with my application.

During my tenure on the Board, I have been privileged to work with the other members of the Board, and with the Board's Executive Director, Jeff Sigurdson, and his dedicated, skilled, and professional staff. I have endeavored to use my judicial skills to assist the Board in the resolution of the cases that have come before it. I have also worked closely with the other members of the Board and Mr. Sigurdson in the consideration of public policy initiatives and the drafting of proposed legislation.

In addition to my years of service as a district court judge, I have been fortunate to have had the opportunity to live in Afghanistan, Bosnia, Kosovo, and Lebanon, through employment with United States Department of Justice and United States Agency for International Development rule-of-law projects. I have also served as an international judge on the Court of Bosnia and Herzegovina. My experience overseas has heightened my respect and appreciation for the democratic institutions and traditions that we enjoy in the United States and the State of Minnesota. Foremost among those democratic traditions is the tradition of open, free, and honest elections.

Minnesota's commitment to preserve that tradition is embodied, in significant part, in the Campaign Finance and Public Disclosure law (Chapter 10A), which comprehensively governs campaign practices. The law also embraces the important public policy of making transparent to the voters potential conflicts of interest on the part of public officials by requiring public financial disclosure by those officials. It also requires those who seek to influence the legislative process as paid lobbyists to publicly disclose the identity of the individuals and organizations they represent, the issues they pursue, and the funds they expend on lobbying activities, including gifts to public officials. These public disclosures are essential to an open and honest electoral process.

Achievement of these important goals is dependent upon a Campaign Finance and Public Disclosure Board composed of members who are dedicated to the fair and effective implementation of the law without regard to overriding partisan interests. In my tenure on the Board, I have found dedication to this principle on the part of all the members of the Board. I have been honored to serve on the Board, and wish to continue to do so. If appointed, I shall work diligently in a constructive way with the other Board members and the Executive Director and staff of the Board to fairly and effectively implement the law.

Thank you for your consideration of my application.

Sincerely,

s/Stephen D. Swanson
100 2nd ST SE, Minneapolis, Minnesota 55414

STEPHEN D. SWANSON

100 2nd St. S.E., #105
Minneapolis, MN 55414
612-752-6652 (work)
612-220-8783 (cell)
sdswansonlaw@gmail.com

November 2019

PROFESSIONAL EXPERIENCE:

September 26, 2018 – present: Half-time housing staff attorney, Volunteer Lawyers Network, Ltd., Minneapolis, Minnesota.

June 8, 2016 – March 31, 2019: Short-Term Technical Assistance Consultant, Judicial Training Advisor, Assistance for the Development of Afghan Legal Access and Transparency, Kabul, Afghanistan, Checchi and Company Consulting, Inc. (lived in the United States and travelled to Kabul periodically).

September 2017 – present: The Advocates for Human Rights, Minneapolis, Minnesota (volunteer attorney in asylum cases).

July 2017 – September 25, 2018: Volunteer Lawyers Network, Ltd., Minneapolis, Minnesota (volunteer attorney).

July 1, 2017 – present: Member, Minnesota Campaign Finance and Public Disclosure Board (gubernatorial appointment).

June 20, 2014 – March 12, 2019: Conflict Resolution Center, Minneapolis, Minnesota (volunteer hearing officer).

May 19, 2014 – May 10, 2017: Temporary Administrative Law Judge, Minnesota Office of Administrative Hearings (state professional services contract).

On an assigned-case basis, I conducted contested case administrative hearings for Minnesota State Departments and other government entities, and prepared findings of fact, conclusions of law, and a recommended disposition for review by the agency head.

January 2009 – April 7, 2014: Senior Judge of District Court, Fourth Judicial District, Hennepin County, Minnesota (sitting by appointment of the Minnesota Supreme Court as a senior judge on a per diem basis).

May 25, 2011 – November 16, 2012: Judicial Training Advisor, USAID Effective Rule of Law Program, Checchi and Company Consulting, Inc., Pristina, Kosovo.

I supervised two staff persons in the judicial training component of the Program and several short-term technical assistance advisors, and reported directly to the chief of party (COP).

January 8 – February 8, 2011 (11 days): Expert for Improving Analytical Skills and Writing Strategic Policy Analyses, Judicial Sector Development Project II, East West Management Institute, Inc., Sarajevo, Bosnia and Herzegovina.

I prepared a detailed proposed public policy analysis protocol and conducted a public policy analysis workshop over one and one half days for members and supporting staff of the Forum for Joint Policy, a forum composed of high level representatives of the Ministry of Justice and the High Judicial and Prosecutorial Council of Bosnia and Herzegovina.

July 30, 2010 – December 4, 2010: International Court Administration and Access to Justice Advisor, Program to Strengthen the Independence of the Judiciary and Citizen Access to Justice in Lebanon, National Center for State Courts, Beirut, Lebanon.

I supervised one staff person in the court administration component of the project through the close out of the project on December 9, 2010, and reported directly to the COP.

March 17 – April 13, 2010: Legal Aid Expert, National Center for State Courts.

In association with the Project to Strengthen the Independence of the Judiciary and Citizen Access to Justice in Lebanon, I spent 21 days in Lebanon developing, in conjunction with the Bar Associations of Beirut and Tripoli, quality enhancement protocols for use by the Associations in the administration of their legal aid programs, conducting an assessment of the need for a legal aid training curriculum, and preparing a proposed legal aid training curriculum for consideration by the Associations for use in their Training Institutes.

January 23 and 24, 2010: Instructor, Judicial Seminar on Case Law Jurisprudence in Armenia, Tsakhkadzor, Republic of Armenia, sponsored by the Office of Overseas Prosecution, Development, Assistance, and Training (OPDAT), United States Department of Justice.

With two other instructors, I participated as an instructor in a seminar for 30 Cassation, Appellate, and first instance criminal court judges focused on the preparation of verdicts and the development of binding case law by the Court of Cassation.

August 29 – September 19, and November 11, 2009: Legal Aid Representation and Training Expert, National Center for State Courts.

In association with the Project to Strengthen the Independence of the Judiciary and Citizen Access to Justice in Lebanon, I spent 18 days in Lebanon performing an assessment of the civil and criminal legal aid programs administered separately by the Bar Associations of Beirut and Tripoli, and prepared a report summarizing the results of the assessment, setting

forth detailed findings, and making recommendations for specific actions to be taken by the Associations to improve the programs.

For Beirut Bar Association representatives participating in an NCSC study tour to the United States, I prepared a report summarizing the criminal and civil legal aid programs in Minnesota; presented the report to the study tour delegation at the offices of the NCSC on 11 November 2009; and participated with NCSC staff and the delegation in a workshop devoted to the development of an action plan for the adoption of recommendations made in the September report

February 2007 – December 2008: Senior Judicial Advisor, Afghanistan Rule of Law Project (ARoLP), Checchi and Company Consulting, Inc., Kabul, Afghanistan.

I supervised five staff persons in the judicial training component of the Project, and reported directly to the COP.

February – April 2006: Judicial Consultant, International Center for Transitional Justice, United States.

In the role of a legal consultant to the Greensboro (North Carolina) Truth and Reconciliation Commission, performed research and prepared a written legal analysis of First Amendment issues presented by the events in Greensboro in November 1971.

November 8, 2004 – August 19, 2005: International Judge, Court of Bosnia and Herzegovina, Office of the High Representative, Sarajevo, Bosnia and Herzegovina.

While on a leave of absence from my position as a state district court judge, I served on the Special Panel for Organized Crime, Economic Crime and Corruption, Court of Bosnia and Herzegovina, pursuant to a Decision of Lord Paddy Ashdown, former High Representative, dated November 5, 2004.

I sat as a presiding judge and as a member of several three-judge panels in preliminary and trial proceedings in high-profile money laundering, organized crime, and official corruption cases.

October 5 – 7, 2004: Judicial Consultant, Organization for Security and Cooperation in Europe, Sarajevo, Bosnia and Herzegovina.

I conducted training for OSCE legal advisors and trial monitors on the Criminal Procedure Code, and attended a training session regarding the substantive law and trial monitoring techniques of war crimes prosecutions.

January 16, 2004 – April 30, 2004: Judicial Consultant, U.S. Department of Justice OPDAT Judicial and Prosecutorial Training Program, Bosnia and Herzegovina.

While on a leave of absence from my position as a state district court judge, I prepared and taught five four-day training sessions for newly-appointed judges on the implementation of the Criminal Procedure Code, and also attended several conferences and meetings of judges and prosecutors in all parts of the country.

July 7, 1989 – February 2, 2007: Judge of District Court, Fourth Judicial District, Hennepin County, State of Minnesota (state court of general jurisdiction).

Appointed by Governor Perpich, effective July 1989; elected to three six-year terms, effective January 1, 1991, 1997, and 2003.

Presided over hundreds of criminal jury and court trials, calendars, bail hearings, pleas, sentencing hearings, and probation revocations.

Served two terms in Fourth Judicial District Family Court (prepared findings of fact, conclusions of law, orders for judgment, and judgment and decrees following motion hearings and trials without a jury).

Managed several blocks of civil cases (held settlement conferences; presided over court and jury trials, and motion hearings; and prepared hundreds of orders and memorandums).

Served three terms as an elected member of Fourth Judicial District Judges Executive Committee (court's governing body).

Appointed Chair, Minnesota Supreme Court Guardian Ad Litem Task Force (1995-96) (chaired meetings, held public hearings, and drafted Supreme Court rule regarding the use of guardians ad litem in family and juvenile court proceedings).

Appointed Presiding Judge of Fourth Judicial District Criminal Division by Chief Judge (August 1, 2004 to December 31, 2006), with general responsibility for the administration of the Division, including voting membership on the Executive Committee.

In 2006, served as one of eight judges assigned a block of serious felony cases (approximately 300 cases per year per judge), to be handled following the first appearance through trial.

October 1988 – May 1989: Administrative Law Judge, Minnesota Office of Administrative Hearings, State of Minnesota.

Presided over contested-case and rule-making hearings for state agencies and local units of government, and prepared detailed findings of fact, conclusions of law, and orders.

September 1971 – September 1988: Attorney, Mid-Minnesota Legal Assistance, Inc. (MMLA), Minneapolis, Minnesota.

July 1986 to September 1988: Managing Attorney, Southside Office, Legal Aid Society of Minneapolis (division of MMLA). Managed operations of neighborhood law office, representing clients, and supervising several staff attorneys, paralegals, and support staff.

January 1978 to July 1986: Managing Attorney, MMLA Legal Services Advocacy Project. Represented clients full time before the Minnesota Legislature and state administrative agencies, lobbying and drafting legislative bills and amendments and proposed administrative rules.

September 1971 to January 1978: Staff attorney, Legal Aid Society of Minneapolis. Represented low income clients in civil cases and administrative hearings.

Arbitrator, Fourth Judicial District Non-Binding Arbitration Program (1987). Conducted mediation and arbitration sessions in cases referred from district court.

September 1969 – June 1971: Volunteers In Service To America, Project On Urban Affairs and Poverty Law, New York University School of Law, New York, New York.

Worked with community organizations in the Williamsburg section of Brooklyn on public education and employment issues while attending New York University part time.

EDUCATION: LL.M. 1971, New York University School of Law, New York, New York
J.D. 1969, University of Cincinnati College of Law, Cincinnati, Ohio
B.A. 1966, Miami University, Oxford, Ohio

LANGUAGES: English (fluent); Spanish (intermediate)

GEOGRAPHIC EXPERIENCE: Afghanistan, Armenia, Bosnia and Herzegovina, Kosovo, Lebanon, and the United States



MINNESOTA

CAMPAIGN FINANCE BOARD



Margaret (Peggy) Leppik was first appointed to the Board in May of 2015 by Governor Dayton. Ms. Leppik was reappointed by Governor Walz in August of 2020 for a term ending in January of 2024. She fills a Board position requiring a former Republican legislator. Ms. Leppik served as a state representative from 1991-2003 where she chaired the Higher Education Finance Committee. She served on the Metropolitan Council from 2003-2011 where she was vice chair for three years and chaired the Environmental Committee. A graduate of Smith College, Ms. Leppik is an active volunteer for numerous nonprofit organizations.

STATE OF MINNESOTA

Executive Department



Governor Tim Walz

NOTICE OF APPOINTMENT

Margaret (Peggy) Leppik

Because of the special trust and confidence I have in your integrity, judgment, and ability, I have appointed you to the office of:

Former Republican Legislator
Campaign Finance and Public Disclosure Board

Effective: August 5, 2020

Expires: January 1, 2024

This appointment carries with it all rights, powers, duties, and emoluments granted by law and pertaining to this position until this appointment is superseded or annulled by me or other lawful authority or by any law of this State.

Signed and sealed July 31, 2020.



Handwritten signature of Tim Walz in black ink.

Tim Walz

Governor

Handwritten signature of Steve Simon in black ink.

Steve Simon

Secretary of State

Reappointment

Filed on July 31, 2020
Office of the Minnesota
Secretary of State,
Steve Simon



MINNESOTA CAMPAIGN FINANCE BOARD

190 Centennial Bldg, 658 Cedar St, St Paul, MN 55155-1603

<https://cfb.mn.gov/>

2020 Annual statement of economic interest

Public Official Information

Margaret (Peggy) Leppik

7500 Western Ave
Golden Valley MN 55427

Phone: 763-546-3328

Email: peggyleppik@comcast.net

Occupation: retired

Employer Name: retired

Business Address: 7500 Western Ave.
Golden Valley MN 55427

Agency Information

Agency: Campaign Finance and Public Disclosure Board

Position: Former Republican Legislator Member

Period Covered

The period covered by this statement is all time served as a public official in 2020.

Certification

I certify that the information contained in this statement is complete, true, and correct.

Margaret (Peggy) Leppik - submitted electronically on 1/04/2021

Margaret (Peggy) Leppik

Sources of Compensation

None reported

Business and Professional Activities

None reported

Securities

Report all securities in any associated business held in any month during the reporting period in which your share had a market value of \$10,000 or more. Do NOT include number of shares or value of shares. For stocks, list the name of the stock - do not list the symbol.

Name of Securities

3M Co.
Berkshire Hathaway, Inc
Centerpoint Energy Inc.
Equinox Alternative Fund
Equinox Venture Capital II
First Solar Inc.
Mosaic Inc.
Northstar Assets Management
Polaris Ind. Inc.
Raven Industries
Ruth U White FBO Children IRR
Surmodics
Unimax Sys.
US Bancorp Del.
Xcel Energy Inc.

Name of Securities

Allete Inc.
Cardiovascular Systems Inc.
Electrosensors Inc.
Equinox Multi-Strategy
Equinox Venture Capital III
Harold T White FBO Children IRR
Northern Tech Intl. Corp.
NVE Corp.
Protolabs Inc.
Rentech Nitrogen Partners
Stratasys Ltd.
Tesla Motors Inc.
United Health Group
Wal-Mart Stores, Inc

Real Property

None reported

Waiver granted for disclosure of secondary residence

Pari-Mutuel Horse Racing Interests

None reported

Margaret W. Leppik
7500 Western Avenue
Golden Valley, MN 55427
763-546-3328 peggyleppik@comcast.net

Nov. 3, 2019

Gov. Tim Walz
130 State Capitol
75 Rev. Dr. Martin Luther King, Jr. Blvd.
St. Paul, MN 55155

Dear Gov. Walz,

As my term on the Minnesota Campaign Finance and Public Disclosure Board nears an end, I am reapplying for the position designated for a former Republican legislator. I have served on the Board since 2015 and would like to continue because I believe in the importance of the work of the Board in maintaining the integrity of our state elections and the public's trust in state government. As one who has been active in public affairs for many years, I have also found it personally very gratifying to spend my time helping to do this vital work.

I would like to share with you a few thoughts on the Board itself. Most important is the absolute necessity of fairness and consistency regardless of political affiliation as the Board considers each case. I also believe that it is important to have representation on the Board by people who have run for state office and know what it's like to be directly affected by the Campaign Finance Laws and regulations. I greatly appreciate staff efforts to modernize our systems and reach out to candidates and public officials to help them understand and comply with complicated and sometimes confusing requirements. For my part, I have enjoyed occasionally speaking to organizations about the CFPDB and how campaign finance laws affect the public.

Thank you for considering my request. It has been my privilege to serve on the Board and to be its current chair. I would be honored to serve another term.

Sincerely,

Margaret (Peggy) Leppik

Margaret W. Leppik
7500 Western Avenue
Golden Valley, MN 55427
763-546-3328 peggyleppik@comcast.net

RESUME

Education

Smith College, B.A. Sociology, 1965
University of Pennsylvania, non-degree program, 1965-67

Work Experience

Metropolitan Council Member, 2003-2011; vice chair 2008-2011
Capitol Gains, legislative liaison, 2003-2009
Minnesota House of Representatives, 1991-2003
University of Wisconsin, laboratory assistant, 1968-69
University of Pennsylvania Wistar Institute, laboratory assistant, 1967-68

Volunteer Activities

Minnesota Campaign Finance and Public Disclosure Board, member, 5/2015 – present;
Chair, 2019
Dean's Advisory Council, Hubert H. Humphrey School of Public Affairs, 2003-present
ISD 281 Adult Academic Program tutor, 1999-present
The Works Museum, Board of Directors, 2012-present
The Nature Conservancy Minnesota Chapter, Board of Trustees, 2003-2009, Vice Chair, 2008-
2009; Global Advisory Committee, 2012-present, Co-chair, 2017-2019
Minnesota Planetarium Society, Board of Directors 2003-2010; President, 2007-09
University of Minnesota Landscape Arboretum, 1998-2016
Minnesota Partnership for Action Against Tobacco (MPAAT; now Clearway Minnesota);
Board of Directors, 1998-2003
League of Women Voters of Minnesota, Board of Directors 1984-88; Vice President, 1986-88
Success by Six Northwest, Public Affairs Committee, 1993-96
Smith College Alumnae Association Regional Coordinator, 1991-93
Smith College Class President, 1985-90
Smith College Club of Minnesota, President 1986-87
The Minnesota Opera, Board of Directors, 1987-92
The Minnesota Opera Association, Board of Directors; President, 1987-92
Golden Valley Planning Commission, 1981-1990
Golden Valley Board of Zoning Appeals, 1985-87

Affiliations

Golden Valley Rotary Club
Northwest Suburban Optimist Club
League of Women Voters
Golden Valley Historical Society

Selected Awards

Rotary Club of Golden Valley, Citizen of the Year, 2006
Minnesota Water Quality Partnership, Outstanding Advocate, 2002
Presidential Medallion, North Hennepin Community College, 2003
St. Paul Business and Professional Women, Legislator of the Year, 1998
University of Minnesota Alumni Association, Legislator of the Year, 1995 and 1998
West Hennepin Human Services Planning Board, Citizen of Distinction, 1992



MINNESOTA

CAMPAIGN FINANCE BOARD



Carol Flynn was first appointed to the Board in February of 2015 by Governor Dayton. Ms. Flynn was reappointed by Governor Walz in August of 2020 for a term ending in January of 2023. She fills a Board position requiring a former DFL legislator. Ms. Flynn served as a state senator from 1990-2000 where she was Majority Whip and Chaired the Judiciary and Transportation Committees. She studied at the University of Minnesota. A retired public employee, she volunteers on the Minneapolis Transportation Management Organization and as Vice President of the Loring Green West Association Board.

STATE OF MINNESOTA

Executive Department



Governor Tim Walz

NOTICE OF APPOINTMENT

Carolyn Flynn

Because of the special trust and confidence I have in your integrity, judgment, and ability, I have appointed you to the office of:

Former DFL Legislator

Campaign Finance and Public Disclosure Board

Effective: August 5, 2020

Expires: January 2, 2023

This appointment carries with it all rights, powers, duties, and emoluments granted by law and pertaining to this position until this appointment is superseded or annulled by me or other lawful authority or by any law of this State.

Signed and sealed July 31, 2020.



Handwritten signature of Tim Walz in black ink.

Tim Walz

Governor

Handwritten signature of Steve Simon in black ink.

Steve Simon

Secretary of State

Reappointment

Filed on July 31, 2020
Office of the Minnesota
Secretary of State,
Steve Simon



MINNESOTA CAMPAIGN FINANCE BOARD

190 Centennial Bldg, 658 Cedar St, St Paul, MN 55155-1603

<https://cfb.mn.gov/>

2020 Annual statement of economic interest

Public Official Information

Carol Flynn

1235 Yale Place, # 1409

Minneapolis MN 55403

Phone: 612-332-1227

Email: crflynn8@gmail.com

Occupation: retired

Employer Name: retired

Business Address: N/A

N/A MN N/A

Agency Information

Agency: Campaign Finance and Public Disclosure Board

Position: Former DFL Legislator Member

Period Covered

The period covered by this statement is all time served as a public official in 2020.

Certification

I certify that the information contained in this statement is complete, true, and correct.

Carol Flynn - submitted electronically on 1/03/2021

Carol Flynn

Sources of Compensation

None reported

Business and Professional Activities

None reported

Securities

Report all securities in any associated business held in any month during the reporting period in which your share had a market value of \$10,000 or more. Do NOT include number of shares or value of shares. For stocks, list the name of the stock - do not list the symbol.

Name of Securities

Allstate Annuity

Name of Securities

Graco Inc

Real Property

None reported

Waiver granted for disclosure of secondary residence

Pari-Mutuel Horse Racing Interests

None reported

Carolyn (Carol) Flynn
1235 Yale Place #1409
Minneapolis, MN 55403
(612) 332-1227
crflynn8@gmail.com

Thank you for considering my application for re-appointment to the Campaign Finance and Public Disclosure Board. I was appointed by Governor Dayton and subsequently confirmed by the legislature in 2015 to the "Former DFL Legislator" position on the Board.


During my term as Chair this past year, we have reached consensus on most issues before the Board. The small staff is dedicated to assisting candidates comply with the law while providing information to the public. Retirements of long term staff were smoothly transitioned. The WEB page was successfully updated and legislative updates were passed. Ideas for future legislative changes are being considered.

My past service in the State Senate followed a special election in 1990 and reelection until my retirement in 2000. That experience along with service on the Metropolitan Council have been of value in understanding issues before the Board. I was Senate Majority Whip, chaired several committees including Ethics Committee and the Transportation Committee where we successfully completed the first LRT line. I also served on Taxes and Rules and Administration.

Previous employment included Minnesota Department of Employee Relations work on pay equity, legislative coordinator for American Federation of State, County and Municipal Employees (AFSCME) and Associate Director of Council 6 (state employees), 15 years at the University of Minnesota in various civil service positions where I organized and became a charter member of AFSCME on campus.

Following retirement my husband and I moved to downtown Minneapolis where I have enjoyed the opportunity to volunteer for numerous non-profit organizations.

Again, I appreciate the opportunity to serve on the Board where my varied background has been of value and hope to continue.


11/14/2018