



Department of Administration | Office of Grants Management

House Fraud Prevention and State Agency Oversight Policy Committee

February 17, 2025

Grants are financial assistance paid, or services furnished, by a state agency via a third party to an eligible recipient.

Minnesota has a decentralized state grant management system.

State grants are regulated by state law and policy.

The Office of Grants Management's state grant policy framework

Fulfilling OGM's Mission



Policy Development



Training and guidance



Disseminating best practices



Administering Admin grants



Enterprise reporting



Risk analysis and reduction



Partner outreach and support



Enterprise tools and resources

Office of Grants Management (OGM)

Mission:

Standardize, streamline and improve **state** grant-making practices and increase public information about state grant opportunities.



Minnesota Grant Seeking Resource

- mn.gov/grants
- Single-entry point to state grant opportunities
- State agencies required to post available grant opportunities



NEW Expanded Oversight: 2023 Statute Changes

NEW Oversight Tools	Statute
Strengthens OGM Oversight <ul style="list-style-type: none">• Suspend or debar grantees for up to 3 years• Grant contract approval• Audit any grant• Terminate any grant if not in “best interest of state”	MS 16B.97, 16B.991
Grantee performance evaluations (grants \$25K+)	MS 16B.98
Agencies may use a portion of funds for grant administration	MS 16B.98
Pre-award risk assessment (grants \$50K+)	MS 16B.98
Feasibility Study: enterprise grants management system	Laws 2023, Ch. 62



NEW: Office of Grants Management Oversight

- Strengthens OGM's grants oversight authority
 - Suspend or debar grantees for 3 years
 - Requires grant contract approval
 - Authorizes an audit of any grant
 - Authorizes termination of any grant is “not in the best interests of the state”

Grantee Performance Evaluations

- All state grants must include a grantee performance evaluation
- **NEW**: Performance evaluations for grants over \$25K are now required to be published
- **NEW**: Evaluations posted after grants are completed

The screenshot shows a web browser window displaying the Department of Administration Grant Management website. The page title is "Grantee Evaluation". Below the title, there is a search filter section with the following fields:

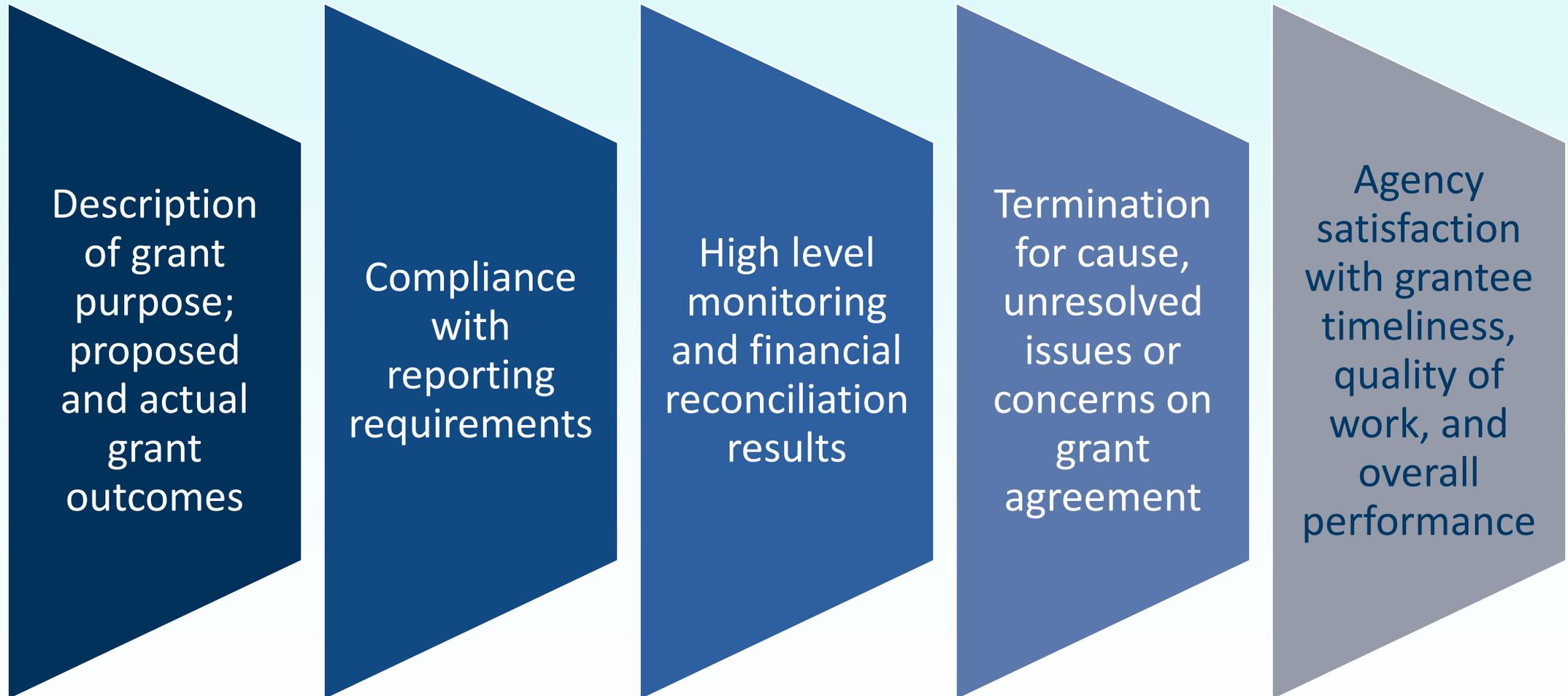
- Name of Grantee:
- Name of Granting Agency:
- Grant Type:

An "Apply" button is located below the filters. Below the filters is a table with the following columns: View link, Name of Grantee, Name of Granting Agency, Grant Type, Grant Contract Number, and End Date of Grant Period.

View link	Name of Grantee	Name of Granting Agency	Grant Type	Grant Contract Number	End Date of Grant Period
view	Martin County CVSO	Department of Veterans Affairs	Legislatively Named	253497	2025-01-16
view	SAMPLE GRANTEE EVALUATION	Department of Administration	Legislatively Named	SAMPLE - 00000	2024-04-23

Below the table, there is a green button labeled "Select to Download Search Results as a CSV".

Required grantee performance evaluation measures



NEW: Administrative Funds

- Agencies may retain funds for grant administration for new grants if the appropriation is otherwise silent
 - Up to 5% for new legislatively-named grants
 - Up to 10% for new competitive grants

NEW: Pre-Award Risk Assessment

New dedicated section of statute

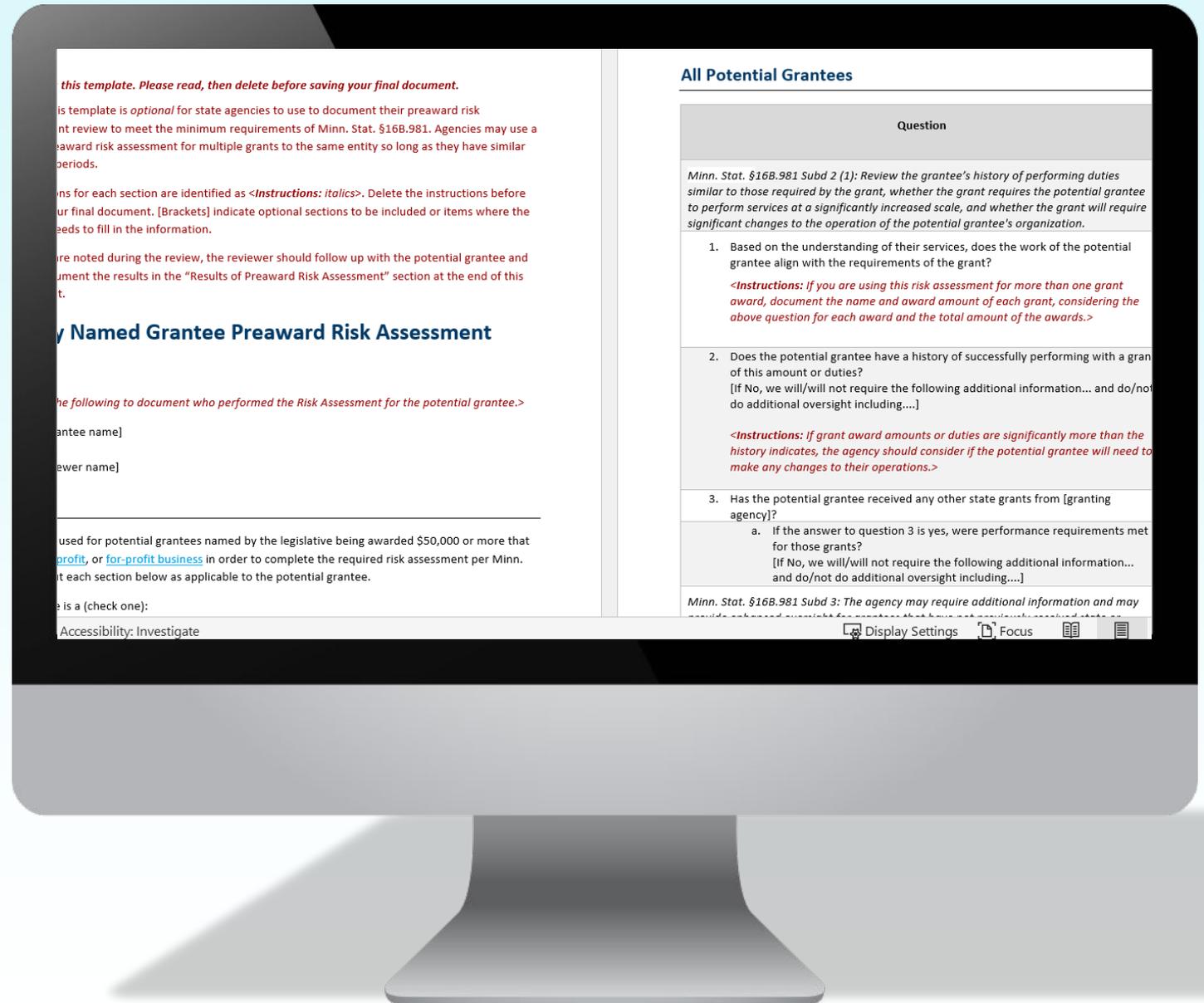
- Grant contracts \$50K or more
- Requires pre-award risk assessment of potential grantees
- Agency option to not award if assessment highlights concerns that cannot be mitigated

OGM Implementation

- Policy Update
- Toolkit resources
- Training

NEW: Risk Assessment Toolkit

- Checklists
- Sample letters
- Financial review form
- Risk plan template
- Tip sheets
 - Internal Controls
 - Oversight requirements
- Flow charts



NEW: Pre-award Risk Assessment Training

- [Overview of Statute](#)
- [Overview of Competitive Grant Requirements](#)
- [Overview of Single/Sole Source Grant Requirements](#)
- [Overview of Legislatively Named Grant Requirements](#)
- [Overview of Risk Assessment Checklist](#)
- [Overview of Financial Review Form](#)
- [Overview of Risk Plan and Tip Sheets](#)

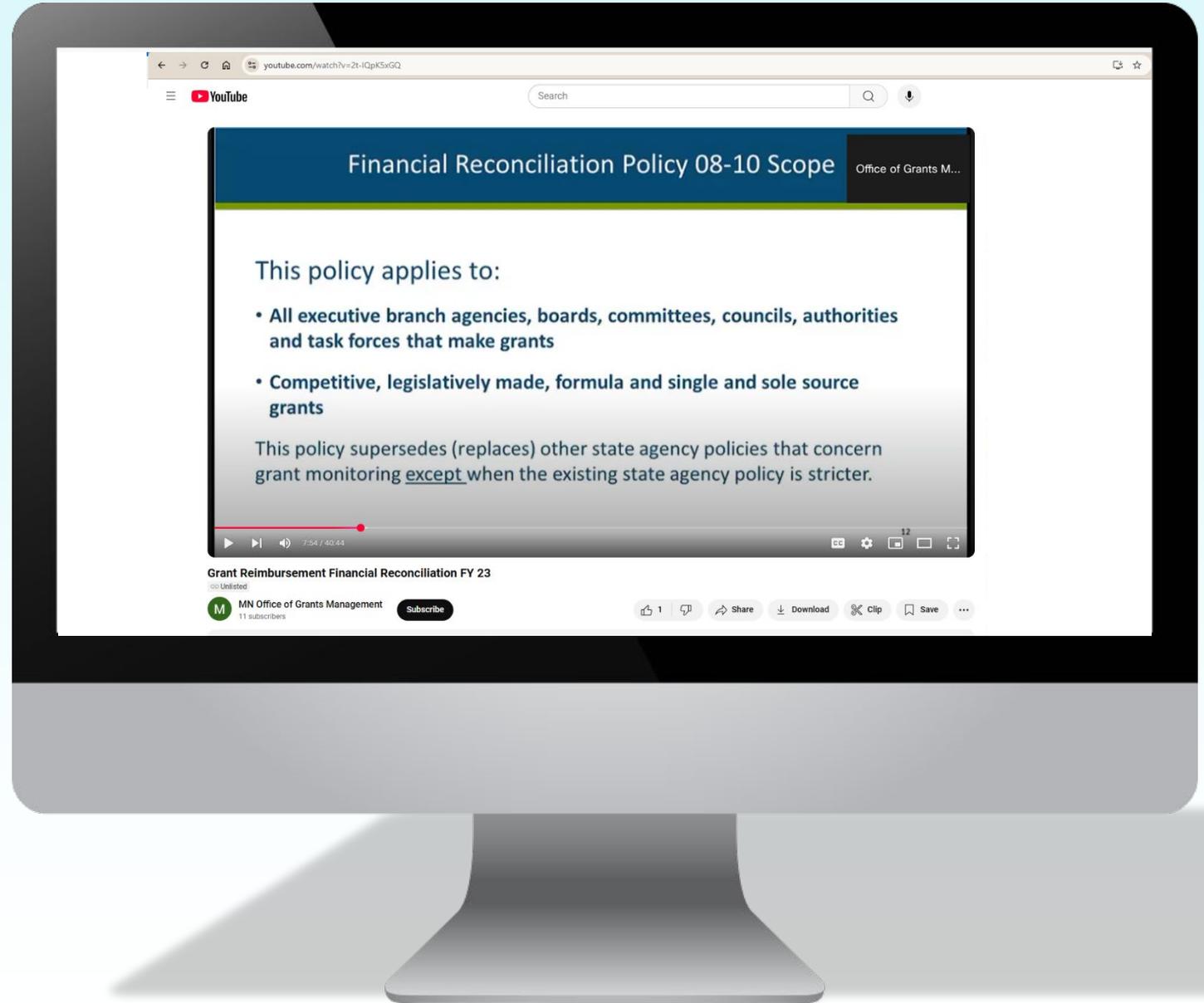


NEW: Agency authority to not award grant

- Process for not awarding a grant
 - Competitive grants
 - Legislatively named grants
- Grantee appeal process

Enhanced Training

- Topics include:
 - Grant basics
 - OGM policies
 - Grantee evaluation
 - Grant monitoring
 - Financial reconciliation



Enterprise Grants Management System (EGMS) Feasibility Study

2023 legislative session mandated completion of a study to determine **if an enterprise grants management system or combination of common systems is feasible.**

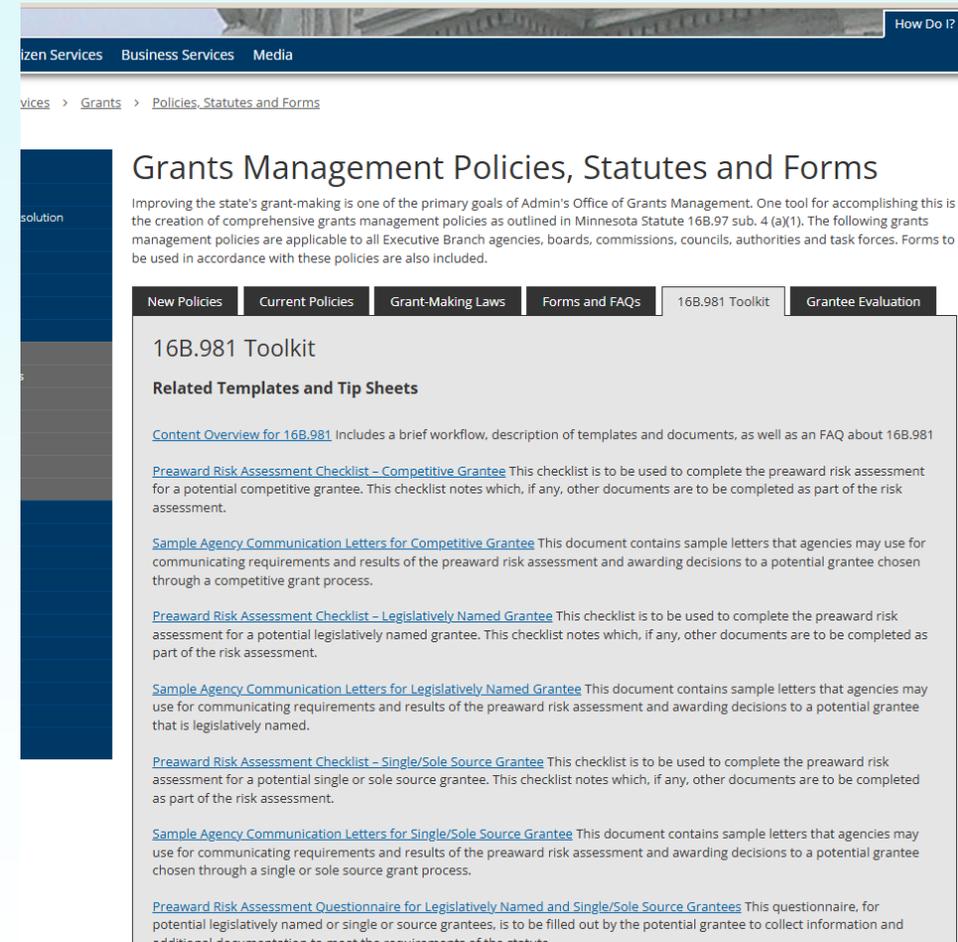
If deemed functionally feasible, next steps include solution analysis based on system requirements, cost estimates, change management considerations, and an implementation road map.



OLA Recommendation Implementation Updates

Oversight implementation progress

- Increasing OGM staff
- Expanding risk assessment, training, and technical assistance
- Updating OGM enterprise-wide grant-making policies
- Public Grantee Performance Evaluation Website



The screenshot shows a web page titled "Grants Management Policies, Statutes and Forms". The page is part of the Minnesota Department of Administration website, with navigation links for "Citizen Services", "Business Services", and "Media". The page content includes an introduction to the grants management process and a list of related templates and tip sheets. The "16B.981 Toolkit" is highlighted in the navigation menu.

Grants Management Policies, Statutes and Forms

Improving the state's grant-making is one of the primary goals of Admin's Office of Grants Management. One tool for accomplishing this is the creation of comprehensive grants management policies as outlined in Minnesota Statute 16B.97 sub. 4 (a)(1). The following grants management policies are applicable to all Executive Branch agencies, boards, commissions, councils, authorities and task forces. Forms to be used in accordance with these policies are also included.

16B.981 Toolkit

Related Templates and Tip Sheets

- [Content Overview for 16B.981](#) Includes a brief workflow, description of templates and documents, as well as an FAQ about 16B.981
- [Preaward Risk Assessment Checklist - Competitive Grantee](#) This checklist is to be used to complete the preaward risk assessment for a potential competitive grantee. This checklist notes which, if any, other documents are to be completed as part of the risk assessment.
- [Sample Agency Communication Letters for Competitive Grantee](#) This document contains sample letters that agencies may use for communicating requirements and results of the preaward risk assessment and awarding decisions to a potential grantee chosen through a competitive grant process.
- [Preaward Risk Assessment Checklist - Legislatively Named Grantee](#) This checklist is to be used to complete the preaward risk assessment for a potential legislatively named grantee. This checklist notes which, if any, other documents are to be completed as part of the risk assessment.
- [Sample Agency Communication Letters for Legislatively Named Grantee](#) This document contains sample letters that agencies may use for communicating requirements and results of the preaward risk assessment and awarding decisions to a potential grantee that is legislatively named.
- [Preaward Risk Assessment Checklist - Single/Sole Source Grantee](#) This checklist is to be used to complete the preaward risk assessment for a potential single or sole source grantee. This checklist notes which, if any, other documents are to be completed as part of the risk assessment.
- [Sample Agency Communication Letters for Single/Sole Source Grantee](#) This document contains sample letters that agencies may use for communicating requirements and results of the preaward risk assessment and awarding decisions to a potential grantee chosen through a single or sole source grant process.
- [Preaward Risk Assessment Questionnaire for Legislatively Named and Single/Sole Source Grantees](#) This questionnaire, for potential legislatively named or single or source grantees, is to be filled out by the potential grantee to collect information and additional documentation to meet the requirements of the statute.

Thank you!

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