

# **Data Practices Overview**

MN House Judiciary Finance & Civil Law January 17, 2019



# Data Practices Office Who we are and what we do

- Statewide resource on Minnesota's data practices and open meeting laws
  - ✓ Informal advice/technical assistance to government, public, media and Legislature
  - √ Commissioner's duties
    - √ Advisory opinions
    - √ Temporary classifications of data
  - √ Website, newsletters, Twitter
  - ✓ Legislative assistance
  - ✓ Training



# Question #1

A City receives a data request for official records that could have been destroyed 10 years ago, according to its records retention schedule.

Must the City produce the data for the requester?



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# Answer: Yes

- Data Practices Act, Minnesota Statutes, Chapter 13
  - Presumes government data are public
  - Classifies data that are not public
  - Provides rights for the public and data subjects
  - Requires that data on individuals are accurate, complete, current, and secure
- Minnesota Rules, Chapter 1205
- The Official Records Act (Minn. Stat. § 15.17), Manual p. 17-18
- The Records Management Statute (Minn. Stat. § 138.17)

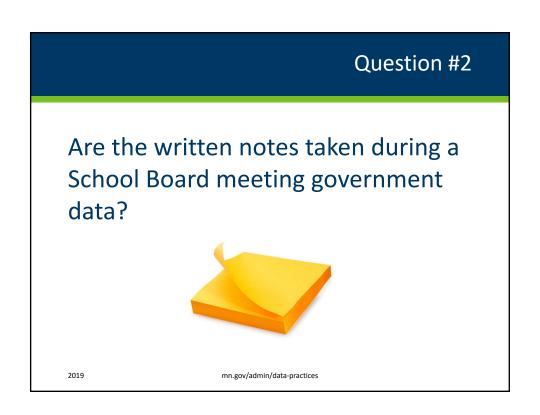
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# Data Request: Response Time

|                          | Member of the Public<br>(Section 13.03, subd. 3)    | <b>Data Subject</b><br>(Section 13.04, subd. 2) |
|--------------------------|---|---|
| Inspection and/or copies | Appropriate and prompt, a reasonable amount of time | Immediately, if possible or 10 business days    |

|            |   | Copy Costs  |
|------------|---|---|
|            | Member of the Public  | Data Subject  |
| Inspection | No charge or fee allowed  | No charge or fee allowed  |
| Copies     | <ul> <li>25¢ per page         <ul> <li>100 or fewer, black and white, legal/letter size paper copies</li> </ul> </li> <li>Actual cost         <ul> <li>All other copies</li> <li>Time for search and retrieval</li> <li>Time to make and transmit</li> <li>Materials</li> <li>No charge to separate public from not public data</li> <li>Electronic data</li> </ul> </li> </ul> | <ul> <li>Actual cost</li> <li>Time to make and transmit</li> <li>Materials</li> <li>No charge for search and retrieval</li> <li>No charge to separate public from not public data</li> <li>No charge to redact private or confidential data about others</li> </ul> |



# **Answer: Probably**

- "Government data" means all data collected, created, received, maintained or disseminated by any government entity regardless of its physical form, storage media or conditions of use.
- There is no exception for "working papers"
- Even Post-It notes could be government data

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## Question #3

- Is an email from your legislative address to your mother about her birthday government data?
- Is a State Agency employee's email from her personal email address responding to your legislative question government data?



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### **Answer**

- Email from your legislative address = not government data
  - MN Legislature not subject to Data Practices Act
    - Your decision whether emails with constituents are public
  - MN Courts are also not subject to the Data Practices Act
- Work email from personal account = government data
  - Could be public (remember the presumption)
  - Does not turn all employee's emails from personal account into government data

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# Classification of Government Data

| Classification                          | Meaning of Classification  | Examples   |
|---|--|--|
| Public                                  | Available to anyone for any reason   | Employee name<br>Employee salary<br>Final disposition of discipline          |
| Private/Nonpublic                       | Available to:      Data subject     Those in the entity whose work requires access     Entities authorized by law     Those authorized by data subject | Employee home address/phone<br>Substance of complaint when no<br>discipline  |
| Confidential/<br>Protected<br>nonpublic | Available to:  Those in the entity whose work requires access  Entities authorized by law  Not available to data subject                               | Active licensing investigative<br>data<br>Active criminal investigative data |

A State Agency is in the process of investigating a complaint against one of its employees and receives a data request about the complaint.

What can it release?



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# Answer: Personnel data

- While the complaint is being investigated, only the existence and status of the complaint is public
- Once the complaint is resolved, other data may become public

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An individual was shot to death at a private home. A 911 call summoned the police. A suspect is arrested. There is an active criminal investigation into the incident.

Local media requested the following:

- Names of witnesses
- · Name, date of birth, and city of residence of alleged shooter
- · Address of where shooting took place

#### Can the media access the requested data?

# Question #5 Answer

- •Names of witnesses?
  - Public under §13.82, subd. 6(g)
  - Unless data identifies individuals who qualify for protection under §13.82, subd. 17
- •Name, DOB, and residence of shooter?
  - Public: §13.82, subd. 2(j) as arrest data
    - Can withhold as active criminal investigative data under §13.82, subd. 7, but as soon as an individual is detained/arrested, name and address are <u>always</u> public
- Address where shooting took place?
  - Public: Response or Incident Data §13.82, subd. 6(a), place of action

Responding to a domestic disturbance, officers activate their body-worn cameras. The officers enter the home and observe a man hitting a woman. A child and another adult woman are in the same room.

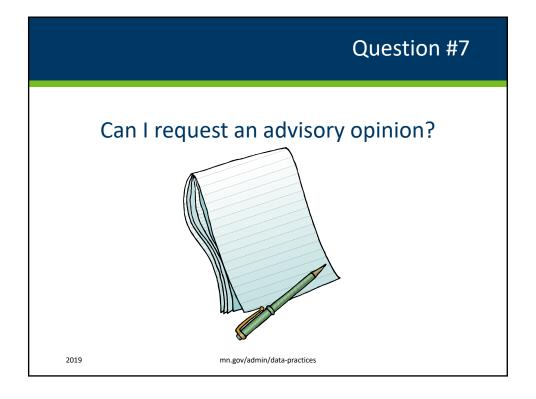
- The officers arrest the man for assault.
- The officers interview each of the adults before leaving the scene.
- The investigation is active.
- The next day, a neighbor asks for a copy of the body camera videos.

What should you release to the neighbor?



# Question #6 Answer

- •While investigation is active, all data in the video are confidential (§13.82, subd. 7)
  - Neighbor has no access to the video
- •When the investigation becomes inactive, the data in the video are private (§13.825)
  - Neighbor has no access to the video
- Neighbor <u>does</u> have access to public data in the incident report (§13.82)



# Answer: It Depends

- The Data Practices Act requires that an opinion requester to have "standing"
  - Only a government entity can request an opinion on questions relating to public access to data, rights of data subjects, and classification of data
  - But any person who disagrees with a government entity's determination about data practices can request an opinion related to the person's rights as a subject of government data or right to access government data
- Legislative members and staff must have a disagreement about a data request or the way government handled their own data to have "standing"

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# Are there penalties for violating the Data Practices Act?

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## **Answer: Yes**

- Remedies (Minn. Stat. §13.08)
  - Action to compel compliance
  - Action for damages, costs, and attorneys fees
- Administrative remedy (Minn. Stat. §13.085)
  - · Action to compel compliance within 2 years of alleged violation
- Penalties (Minn. Stat. §13.09)
  - Willful violation = misdemeanor
  - Dismissal or suspension
- Advisory opinions (Minn. Stat. §13.072)

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# **BONUS Question**



Where do I go for more assistance in understanding the Data Practices Act?

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# Department of Admin, Data Practices Office



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# Thank you!

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